

This Page Is Inserted by IFW Operations  
and is not a part of the Official Record

## **BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning documents *will not* correct images,  
please do not report the images to the  
Image Problem Mailbox.**

**PCT**WORLD INTELLECT  
Int

INTERNATIONAL APPLICATION PUBLISHED

(51) International Patent Classification<sup>6</sup> :

A61B 17/39

WO 9604860A1

A1

(43) International Publication Date: 22 February 1996 (22.02.96)

(21) International Application Number: PCT/US95/10242

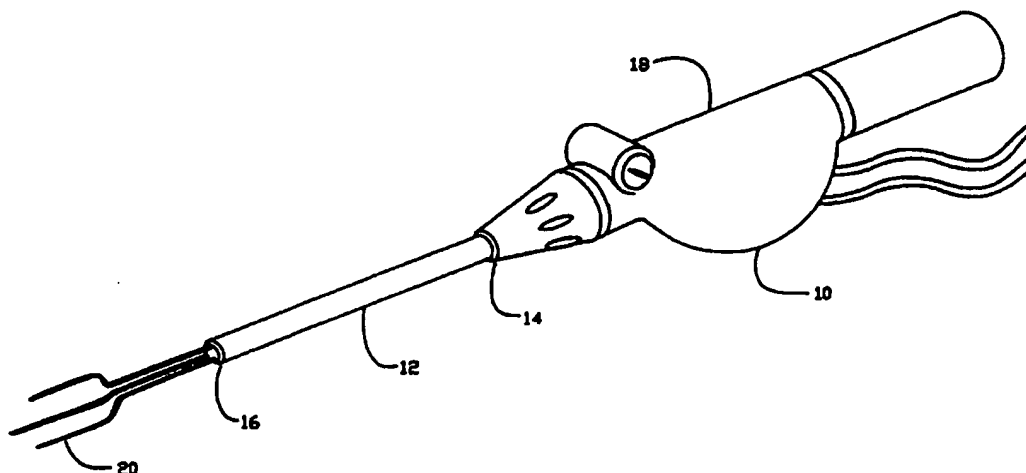
(22) International Filing Date: 11 August 1995 (11.08.95)

(30) Priority Data:  
08/290,031 12 August 1994 (12.08.94) US(71) Applicant: ZOMED INTERNATIONAL, INC. [US/US]; 967  
North Shoreline Boulevard, Mountain View, CA 94043  
(US).(72) Inventors: EDWARDS, Stuart, D.; 1681 Austin Avenue, Los  
Altos, CA 94024 (US). LAX, Ronald, G.; 10724 Glenbrook  
Estates Court, Grass Valley, CA 95945 (US). SHARKEY,  
Hugh; 935 Corriente Pointe, Redwood Shores, CA 94065  
(US).(74) Agents: DAVIS, Paul et al.; Haynes & Davis, Suite 310, 2180  
Sand Hill Road, Menlo Park, CA 94025-6935 (US).(81) Designated States: CN, JP, European patent (AT, BE, CH, DE,  
DK, ES, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).

Published

With international search report.  
With amended claims.

(54) Title: MULTIPLE ELECTRODE ABLATION APPARATUS



(57) Abstract

A tissue ablation apparatus includes a delivery catheter with distal and proximal ends. A handle is attached to the proximal end of the delivery catheter. At least partially positioned in the delivery catheter is an electrode deployment device. The electrode deployment device includes a plurality of retractable electrodes. Each electrode has a non-deployed state when it is positioned in the delivery catheter. Additionally, each electrode has a distended deployed state when it is advanced out of the delivery catheter distal end. The deployed electrodes define an ablation volume. Each deployed electrode has a first section with a first radius of curvature. The first section is located near the distal end of the delivery catheter. A second section of the deployed electrode extends beyond the first section, and has a second radius of curvature, or a substantially linear geometry.

**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AT	Austria	GB	United Kingdom	MR	Mauritania
AU	Australia	GE	Georgia	MW	Malawi
BB	Barbados	GN	Guinea	NE	Niger
BE	Belgium	GR	Greece	NL	Netherlands
BF	Burkina Faso	HU	Hungary	NO	Norway
BG	Bulgaria	IE	Ireland	NZ	New Zealand
BJ	Benin	IT	Italy	PL	Poland
BR	Brazil	JP	Japan	PT	Portugal
BY	Belarus	KE	Kenya	RO	Romania
CA	Canada	KG	Kyrgyzstan	RU	Russian Federation
CF	Central African Republic	KP	Democratic People's Republic of Korea	SD	Sudan
CG	Congo	KR	Republic of Korea	SE	Sweden
CH	Switzerland	KZ	Kazakhstan	SI	Slovenia
CI	Côte d'Ivoire	LI	Liechtenstein	SK	Slovakia
CM	Cameroon	LK	Sri Lanka	SN	Senegal
CN	China	LU	Luxembourg	TD	Chad
CS	Czechoslovakia	LV	Latvia	TG	Togo
CZ	Czech Republic	MC	Monaco	TJ	Tajikistan
DE	Germany	MD	Republic of Moldova	TT	Trinidad and Tobago
DK	Denmark	MG	Madagascar	UA	Ukraine
ES	Spain	ML	Mali	US	United States of America
FI	Finland	MN	Mongolia	UZ	Uzbekistan
FR	France			VN	Viet Nam
GA	Gabon				

## MULTIPLE ELECTRODE ABLATION APPARATUS

### CONTINUING APPLICATION DATA

5 This application is a continuation-in-part of U.S. Patent Application Serial No. 08/148,439 entitled "DEVICE FOR TREATING CANCER AND NON-MALIGNANT TUMORS AND METHODS, by Edwards et al. which is incorporated herein by reference.

### BACKGROUND OF THE INVENTION

#### 10 Field of the Invention

This invention relates generally to an apparatus for the treatment and ablation of body masses, such as tumors, and more particularly, to a retractable multiple needle electrode apparatus that surrounds an exterior of a tumor with a plurality of needle electrodes and defines an ablative volume.

15

#### Description of the Related Art

Current open procedures for treatment of tumors are extremely disruptive and cause a great deal of damage to healthy tissue. During the surgical procedure, the physician must exercise care in not cutting the tumor in a manor that creates seeding of the tumor, resulting in metastasis. In recent years development of products has been directed with an emphasis on minimizing the traumatic nature of traditional surgical procedures.

20

There has been a relatively significant amount of activity in the area of hyperthermia as a tool for treatment of tumors. It is known that elevating the temperature of tumors is helpful in the treatment and management of cancerous tissues. The mechanisms of selective cancer cell eradication by hyperthermia are not completely understood. However, four cellular effects of hyperthermia on cancerous tissue have been proposed, (i) changes in cell or nuclear membrane permeability or fluidity, (ii) cytoplasmic lysosomal disintegration, causing release of digestive enzymes, (iii) protein thermal damage affecting cell respiration and the

25

30

synthesis of DNA or RNA and (iv) potential excitation of immunologic systems. Treatment methods for applying heat to tumors include the use of direct contact radio-frequency (RF) applicators, microwave radiation, inductively coupled RF fields, ultrasound, and a variety of simple thermal conduction techniques.

5           Among the problems associated with all of these procedures is the requirement that highly localized heat be produced at depths of several centimeters beneath the surface of the body. Certain techniques have been developed with microwave radiation and ultrasound to focus energy at various desired depths. RF applications may be used at depth during surgery. However, the extent of  
10          localization is generally poor, with the result that healthy tissue may be harmed.

          Induction heating gives rise to poor localization of the incident energy as well. Although induction heating may be achieved by placing an antenna on the surface of the body, superficial eddy currents are generated in the immediate vicinity of the antenna. When it is driven using RF current unwanted surface  
15          heating occurs diminishing heating to the underlying tissue.

          Thus, non-invasive procedures for providing heat to internal tumors have had difficulties in achieving substantial specific and selective treatment.

          Hyperthermia, which can be produced from an RF or microwave source, applies heat to tissue but does not exceed 45 degrees C so that normal cells survive.  
20          In thermotherapy, heat energy of greater than 45 degrees C is applied, resulting in histological damage, desiccation and the denaturization of proteins. Hyperthermia has been applied more recently for therapy of malignant tumors. In hyperthermia, it is desirable to induce a state of hyperthermia that is localized by interstitial current heating to a specific area while concurrently insuring minimum thermal  
25          damage to healthy surrounding tissue. Often, the tumor is located subcutaneously and addressing the tumor requires either surgery, endoscopic procedures or external radiation. It is difficult to externally induce hyperthermia in deep body tissue because current density is diluted due to its absorption by healthy tissue. Additionally, a portion of the RF energy is reflected at the muscle/fat and bone

interfaces which adds to the problem of depositing a known quantity of energy directly on a small tumor.

Attempts to use interstitial local hyperthermia have not proven to be very successful. Results have often produced nonuniform temperatures throughout the tumor. It is believed that tumor mass reduction by hyperthermia is related the thermal dose. Thermal dose is the minimum effective temperature applied throughout the tumor mass for a defined period of time. Because blood flow is the major mechanism of heat loss for tumors being heated, and blood flow varies throughout the tumor, more even heating of tumor tissue is needed to ensure more effective treatment.

The same is true for ablation of the tumor itself through the use of RF energy. Different methods have been utilized for the RF ablation of masses such as tumors. Instead of heating the tumor it is ablated through the application of energy. This process has been difficult to achieve due to a variety of factors including, (i) positioning of the RF ablation electrodes to effectively ablate all of the mass, (ii) introduction of the RF ablation electrodes to the tumor site and (iii) controlled delivery and monitoring of RF energy to achieve successful ablation without damage to non-tumor tissue.

There have been a number of different treatment methods and devices for minimally invasively treating tumors. One such example is an endoscope that produces RF hyperthermia in tumors, as disclosed in U.S. Patent No. 4,920,978. A microwave endoscope device is described in U.S. Patent No. 4,409,993. In U.S. Patent No. 4,920,978, an endoscope for RF hyperthermia is disclosed.

In U.S. Patent No. 4,763,671, a minimally invasive procedure utilizes two catheters that are inserted interstitially into the tumor. The catheters are placed within the tumor volume and each is connect to a high frequency power source.

In U.S. Patent No. 4,565,200, an electrode system is described in which a single entrance tract cannula is used to introduce an electrode into a selected body site.

However, as an effective treatment device, electrodes must be properly positioned relative to the tumor. After the electrodes are positioned, it is then desirable to have controlled application and deposition of RF energy to ablate the tumor. This reduces destruction of healthy tissue.

5           There is a need for a RF tumor treatment apparatus that is useful for minimally invasive procedures. It would be desirable for such a device to surround the exterior of the tumor with treatment electrodes, defining a controlled ablation volume, and subsequently the electrodes deliver a controlled amount of RF energy. Additionally, there is a need for a device with infusion capabilities during a pre-ablation step, and after ablation the surrounding tissue can be preconditioned with  
10           electromagnetic ("EM") energy at hyperthermia temperatures less than 45 degrees. This would provide for the synergistic affects of chemotherapy and the instillation of a variety of fluids at the tumor site after local ablation and hyperthermia.

15

#### SUMMARY

An object of the invention is to provide an RF tissue ablation apparatus which ablates a desired tissue site, such as a tumor, in a minimally invasive manner.

20           Another object of the invention is to provide an RF tissue ablation apparatus which includes a selectable plurality of retractable electrodes which are advanced from a delivery catheter to define an ablation volume.

A further object of the invention is to provide an RF tissue ablation apparatus which includes a plurality of electrodes that are retractable to and from  
25           a delivery catheter. The electrodes are at least partially positioned in the delivery catheter in a non-deployed state, and become distended in a deployed state when advanced out a distal end of the delivery catheter, defining the ablation volume.

Another object of the invention is to provide an RF tissue ablation apparatus with deployed electrodes having a first section with a first radius of

curvature, and a second section, that extends beyond the first section, having a second radius of curvature or a substantially linear geometry.

Yet another object of the invention is to provide an RF tissue ablation apparatus with deployed electrodes with two or more radii of curvature.

5           Still another object of the invention is to provide an RF tissue ablation apparatus with deployed electrodes having at least one radii of curvature in two or more planes.

10           A further object of the invention is to provide an RF tissue ablation apparatus with at least one deployed electrode that has one curved section located near a distal end of the delivery catheter, and a non-curved section extending beyond the curved section of the deployed electrode. The ablation apparatus also includes at least one deployed electrode with at least two radii of curvature.

15           Yet another object of the invention is to provide a tissue ablation apparatus with a plurality of retractable electrodes, each deployed electrode has at least one curved section located near a distal end of a delivery catheter, and a non-curved section which extends beyond the curved section of the deployed electrode.

20           These and other objects are attained with a tissue ablation apparatus that includes a delivery catheter, with distal and proximal ends. A handle is attached to the proximal end of the delivery catheter. An electrode deployment apparatus is positioned at least partially in the delivery catheter. It includes a plurality of electrodes that are retractable in and out of the catheter's distal end. The electrodes are in a non-deployed state when they are positioned within the delivery catheter. As they are advanced out the distal end of the catheter they become deployed, and define an ablation volume. Each electrode has a first section with a first radius of curvature, and a second section, extending beyond the first section, having a second  
25           radius of curvature or a substantially linear geometry.

Alternatively, each deployed electrode has at least two radii of curvature that are formed when the needle is advanced through the delivery catheter's distal end and becomes positioned at a selected tissue site.



5 In another embodiment, each deployed electrode has at least one radius of curvature in two or more planes. Further, the electrode deployment apparatus can include at least one deployed electrode having at least radii of curvature, and at least one deployed electrode with at least one radius of curvature in two or more planes.

10 In a further embodiment, the electrode deployment apparatus has at least one deployed electrode with at least one curved section that is located near the distal end of the delivery catheter, and a non-curved section which extends beyond the curved section of the deployed electrode. The electrode deployment apparatus also has at least one deployed electrode with at least two radii of curvature.

In another embodiment of the invention, each deployed electrode has at least one curved section located near the distal end of the delivery catheter, and a non-curved section that extends beyond the curved section of the deployed electrode.

15 An electrode template can be positioned at the distal end of the delivery catheter. It assists in guiding the deployment of the electrodes to a surrounding relationship at an exterior of a selected mass in a tissue. The electrodes can be hollow. An adjustable electrode insulator can be positioned in an adjacent, surrounding relationship to all or some of the electrodes. The electrode insulator is adjustable, and capable of being advanced and retracted along the electrodes in order to define an electrode conductive surface.

20 The electrode deployment apparatus can include a cam which advances and retracts the electrodes in and out of the delivery catheter's distal end. Optionally included in the delivery catheter are one or more guide tubes associated with one or more electrodes. The guide tubes are positioned at the delivery catheter's distal end.

25 Sources of infusing mediums, including but not limited to electrolytic and chemotherapeutic solutions, can be associated with the hollow electrodes.

Electrodes can have sharpened, tapered ends in order to assist their introduction through tissue, and advancement to the selected tissue site.

5 The electrode deployment apparatus is removable from the delivery catheter. An obturator is initially positioned within the delivery catheter. It can have a sharpened distal end. The delivery catheter can be advanced percutaneously to an internal body organ, or site, with the obturator positioned in the delivery catheter. Once positioned, the obturator is removed, and the electrode deployment apparatus is inserted into the delivery catheter. The electrodes are in non-deployed states, and preferably compacted or spring-loaded, while positioned within the delivery catheter. They are made of a material with sufficient strength so that as the electrodes emerge from the delivery catheter's distal end they are deployed three dimensionally, in a lateral direction away from the periphery of the delivery catheter's distal end. The electrodes continue their lateral movement until the force applied by the tissue causes the needles to change their direction of travel.

15 Each electrode now has either, (i) a first section with a first radius of curvature, and a second section, extending beyond the first section, having a second radius of curvature or a substantially linear section, (ii) two radii of curvature, (iii) one radius of curvature in two or more planes, or (iv) a combination of two radii of curvature with one of them in two or more planes. Additionally, the electrode deployment apparatus can include one or more of these deployed geometries for the different electrodes in the plurality. It is not necessary that every electrode have the same deployed geometry.

20 After the electrodes are positioned around a mass, such as a tumor, a variety of solutions, including but not limited to electrolytic fluids, can be introduced through the electrodes to the mass in a pre-ablation step. RF energy is applied, and the mass is desiccated. In a post-ablation procedure, a chemotherapeutic agent can then be introduced to the site, and the electrodes are then retracted back into the introducing catheter. The entire ablative apparatus can be removed, or additional ablative treatments be conducted.

30

### DESCRIPTION OF THE DRAWINGS

Figure 1 is a perspective view of the tissue ablation apparatus of the invention, including a delivery catheter, handle, and deployed electrodes.

5 Figure 2 is a cross-sectional view of the tissue ablation apparatus of the invention illustrated in Figure 1.

Figure 3 is a perspective view of an electrode of the invention with two radii of curvature.

Figure 4 is a perspective view of an electrode of the invention with one radius of curvature in three planes.

10 Figure 5 is a perspective view of an electrode of the invention with one curved section, positioned close to the distal end of the delivery catheter, and a linear section.

Figure 6 is a perspective view of an electrode of the invention with one curved section, positioned close to the distal end of the delivery catheter, a generally first linear section, and then a second linear section that continues laterally with regard to the first linear section.

Figure 7 is a cross-section view of a delivery catheter associated with the invention, with guide tubes positioned at the distal end of the delivery catheter.

Figure 8 is a cross-sectional view of an electrode of the invention.

20 Figure 9 is a perspective view of the tissue ablation apparatus of the invention shown in Figure 1, with the delivery catheter being introduced percutaneously through the body and positioned at the exterior, or slightly piercing, a liver with a tumor to be ablated.

Figure 10 is a perspective view of the tissue ablation apparatus of the invention with an obturator positioned in the delivery catheter.

Figure 11 is a perspective view of the tissue ablation apparatus of the invention shown in Figure 10, positioned in the body adjacent to the liver, with the obturator removed.

30 Figure 12 is a perspective view of the tissue ablation apparatus of the invention shown in Figure 10, positioned in the body adjacent to the liver, and the

electrode deployment apparatus, with an electrode template, is positioned in the delivery catheter in place of the obturator.

Figure 13 is a perspective view of the ablation apparatus of the invention, with deployed electrodes surrounding a tumor and defining an ablation volume.

5        Figure 14 is a perspective view of the tissue ablation apparatus of the invention shown in Figure 10, positioned in the body adjacent to the liver, with deployed electrodes surrounding a tumor and infusing a solution to the tumor site during a pre-ablation procedure.

10       Figure 15 is a perspective view of the tissue ablation apparatus of the invention shown in Figure 10, illustrating application of RF energy to the tumor.

Figure 16 is a perspective view of the tissue ablation apparatus of the invention, illustrating the electro-desiccation of the tumor.

15       Figure 17 is a perspective view of the tissue ablation apparatus of the invention, illustrating the instillation of solutions to the tumor site during a post-ablation procedure.

Figure 18 illustrates bipolar ablation between electrodes of the invention.

Figure 19 illustrates monopolar ablation between electrodes of the invention.

20       Figure 20 is a perspective view of an ablation system of the invention, including RF and ultrasound modules, and a monitor.

Figure 21 is a block diagram of the ablation system of the invention.

### DESCRIPTION OF THE PREFERRED EMBODIMENTS

25       A tissue ablation apparatus 10 of the invention is illustrated in Figure 1. Ablation apparatus 10 includes a delivery catheter 12, well known to those skilled in the art, with a proximal end 14 and a distal end 16. Delivery catheter 12 can be of the size of about 5 to 16 F. A handle 18 is removably attached to proximal end 14. An electrode deployment device is at least partially positioned within delivery catheter 12, and includes a plurality of electrodes 20 that are retractable in and out  
30       of distal end 16. Electrodes 20 can be of different sizes, shapes and configurations.

In one embodiment, they are needle electrodes, with sizes in the range of 27 to 14 gauge. Electrodes 20 are in non-deployed positions while retained in delivery catheter. In the non-deployed positions, electrodes 20 may be in a compacted state, spring loaded, generally confined or substantially straight if made of a suitable memory metal such as nitinol. As electrodes 20 are advanced out of distal end 16 they become distended in a deployed state, which defines an ablative volume, from which tissue is ablated as illustrated more fully in Figure 2. Electrodes 20 operate either in the bipolar or monopolar modes. When the electrodes are used in the bipolar mode, the ablative volume is substantially defined by the peripheries of the plurality of electrodes 20. In one embodiment, the cross-sectional width of the ablative volume is about 4 cm. However, it will be appreciated that different ablative volumes can be achieved with tissue ablation apparatus 10.

The ablative volume is first determined to define a mass, such as a tumor, to be ablated. Electrodes 20 are placed in a surrounding relationship to a mass or tumor in a predetermined pattern for volumetric ablation. An imaging system is used to first define the volume of the tumor or selected mass. Suitable imaging systems include but are not limited to, ultrasound, computerized tomography (CT) scanning, X-ray film, X-ray fluoroscopy, magnetic resonance imaging, electromagnetic imaging, and the like. The use of such devices to define a volume of a tissue mass or a tumor is well known to those skilled in the art.

With regard to the use of ultrasound, an ultrasound transducer transmits ultrasound energy into a region of interest in a patient's body. The ultrasound energy is reflected by different organs and different tissue types. Reflected energy is sensed by the transducer, and the resulting electrical signal is processed to provide an image of the region of interest. In this way, the ablation volume is then ascertained, and the appropriate electrode deployment device is inserted into delivery catheter 12.

The ablative volume is substantially defined before ablation apparatus 10 is introduced to an ablative treatment position. This assists in the appropriate positioning of ablation apparatus 10. In this manner, the volume of ablated tissue

is reduced and substantially limited to a defined mass or tumor, including a certain area surrounding such a tumor, that is well controlled and defined. A small area around the tumor is ablated in order to ensure that all of the tumor is ablated.

5 With reference again to Figure 2, electrode sections 20(a) are in deployed states when they are introduced out of distal end 16. Although electrodes 20 are generally in a non-distended configuration in the non-deployed state while positioned in delivery catheter 12, they can also be distended. Generally, electrode sections 20(b) are in retained positions while they are non-deployed. This is achieved by a variety of methods including but not limited to, (i) the electrodes are  
10 pre-sprung, confined in delivery catheter 12, and only become sprung (expanded) as they are released from delivery catheter 12, (ii) the electrodes are made of a memory metal, as explained in further detail below, (iii) the electrodes are made of a selectable electrode material which gives them an expanded shape outside of delivery catheter 12, or (iv) delivery catheter 12 includes guide tubes which serve  
15 to confine electrodes 12 within delivery catheter 12 and guide their direction of travel outside of the catheter to form the desired, expanded ablation volume. As shown in Figure 2, electrodes 20 are pre-sprung while retained in delivery catheter 12. This is the non-deployed position. As they are advanced out of delivery catheter 12 and into tissue, electrodes 20 become deployed and begin to "fan" out  
20 from distal end 16, moving in a lateral direction relative to a longitudinal axis of delivery catheter 12. As deployed electrodes 20 continue their advancement, the area of the fan increases and extends beyond the diameter of distal end 16.

Significantly, each electrode 20 is distended in a deployed position, and collectively, the deployed electrodes 20 define a volume of tissue that will be  
25 ablated. As previously mentioned, when it is desired to ablate a tumor, either benign or malignant, it is preferable to ablate an area that is slightly in excess to that defined by the exterior surface of the tumor. This improves the chances that all of the tumor is eradicated.

Deployed electrodes 20 can have a variety of different deployed geometries  
30 including but not limited to, (i) a first section with a first radius of curvature, and

a second section, extending beyond the first section, having a second radius of curvature or a substantially linear geometry, (ii) at least two radii of curvature, (iii) at least one radius of curvature in two or more planes, (iv) a curved section, with an elbow, that is located near distal end 16 of delivery catheter, and a non-curved section that extends beyond the curved section, or (v) a curved section near distal end 16, a first linear section, and then another curved section or a second linear section that is angled with regard to the first linear section. Deployed electrodes 20 need not be parallel with respect to each other. The plurality of deployed electrodes 20, which define a portion of the needle electrode deployment device, can all have the same deployed geometries, i.e., all with at least two radii of curvature, or a variety of geometries, i.e., one with two radii of curvature, a second one with one radius of curvature in two planes, and the rest a curved section near distal end 16 of delivery catheter 12 and a non-curved section beyond the curved section.

A cam 22, or other actuating device, can be positioned within delivery catheter and used to advance and retract electrodes 20 in and out of delivery catheter 12. The actual movement of cam can be controlled at handle 18. Suitable cams are of conventional design, well known to those skilled in the art.

The different geometric configurations of electrodes 20 are illustrated in Figures 3 through 6. In Figure 3, electrode 20 has a first radius of curvature 20(c) and a second radius of curvature 20(d). It can include more than two radii of curvature. As shown in Figure 4, electrode 20 has at least one radius of curvature which extends to three planes. In Figure 5, each electrode has a first curved section 20(e) which is near distal end 16 of delivery catheter 12. A first generally linear section 20(f) extends beyond curved section 20(e), and the two meet at an elbow 20(g). The electrodes 20 can serve as anodes and cathodes. The plurality of electrodes 20 can have linear sections 20(f) that are generally parallel to each other, or they can be non-parallel. Figure 6 illustrates an electrode 20 that includes a first curved section 20(e) positioned near distal end 16 of delivery catheter 12, a first linear section 20(f), and a second linear section 20(h) which extends beyond first

linear section 20(f). Section 20(h) can be linear, curved, or a combination of the two. The plurality of electrodes 20 illustrated in Figure 6 can have parallel or non-parallel first linear sections 20(f).

5 In one embodiment of the invention, electrodes 20 are spring-loaded, and compacted in their non-deployed positions. As electrodes 20 are advanced out of distal end 16 of delivery catheter 12, they become deployed and fan out. Electrodes 20 continue this fanning out direction until the resistance of the tissue overcomes the strength of the material forming electrode 20. This causes electrode 20 to bend and move in a direction inward relative to its initial outward fanning direction. The bending creates curved sections 20(c) and 20(d) of Figure 3, and can also result in the formation of the other electrode 20 geometries of Figures 4, 5 and 6. The extent of electrode 20 fan like travel is dependent on the strength of the material from which it is made. Suitable electrode materials include stainless steel, platinum, gold, silver, copper and other electromagnetic conducting materials including conductive polymers. Preferably, electrode 20 is made of stainless steel or nickel titanium and has dimensions of about 27 to 14 gauge.

10 In one embodiment, electrode 20 is made of a memory metal, such as nickel titanium, commercially available from Raychem Corporation, Menlo Park, California. Additionally, a resistive heating element can be positioned in an interior lumen of electrode 20. Resistive heating element can be made of a suitable metal that transfers heat to electrode 20, causing deployed electrode 20 to become deflected when the temperature of electrode 20 reaches a level that causes the electrode material, such as a memory metal, to deflect, as is well known in the art. Not all of electrode 20 need be made of a memory metal. It is possible that only that distal end portion of electrode 20, which is introduced into tissue, be made of the memory metal in order to effect the desired deployed geometrical configuration. Additionally, mechanical devices, including but not limited to steering wires, can be attached to the distal end of electrode 20 to cause it to become directed, deflected and move about in a desired direction about the tissue, until it reaches its final resting position to ablate a tissue mass.



Optionally included in the delivery catheter are one or more guide tubes 24, Figure 7, which serve to direct the expansion of electrodes 20 in the fan pattern as they are advanced out of distal end 16 of the delivery catheter 12. Guide tubes 24 can be made of stainless steel, spring steel and thermal plastics including but not limited to nylon and polyesters, and are of sufficient size and length to accommodate the electrodes to a specific site in the body.

Figure 8 illustrates one embodiment of electrode 20 with a sharpened distal end 24. By including a tapered, or piercing end 24, the advancement of electrode 20 through tissue is easier. Electrode 20 can be segmented, and include a plurality of fluid distribution ports 26, which can be evenly formed around all or only a portion of electrode 20. Fluid distribution ports 26 are formed in electrode 20 when it is hollow and permit the introduction and flow of a variety of fluidic mediums through electrode 20 to a desired tissue site. Such fluidic mediums include, but are not limited to, electrolytic solutions, pastes or gels, as well as chemotherapeutic agents. Examples of suitable conductive gels are carboxymethylcellulose gels made from aqueous electrolyte solutions such as physiological saline solutions, and the like.

The size of fluid distribution ports 26 can vary, depending on the size and shape of electrode 20. Also associated with electrode 20 is an adjustable insulator sleeve 28 that is slidable along an exterior surface of electrode 20. Insulator sleeve 28 is advanced and retracted along electrode 20 in order to define the size of a conductive surface of electrode 20. Insulator sleeve 28 is actuated at handle 18 by the physician, and its position along electrode 20 is controlled. When electrode 20 moves out of delivery catheter 12 and into tissue, insulator sleeve 28 can be positioned around electrode 20 as it moves its way through the tissue. Alternatively, insulator sleeve 28 can be advanced along a desired length of electrode 20 after electrode 20 has been positioned around a targeted mass to be ablated. Insulator sleeve is thus capable of advancing through tissue along with electrode 20, or it can move through tissue without electrode 20 providing the source of movement. Thus, the desired ablation volume is defined by deployed

electrodes 20, as well as the positioning of insulator sleeve 28 on each electrode. In this manner, a very precise ablation volume is created. Suitable materials that form insulator sleeve include but are not limited to nylon, polyimides, other thermoplastics, and the like.

5           Figure 9 illustrates a percutaneous application of tissue ablation apparatus 10. Tissue ablation apparatus 10 can be used percutaneously to introduce electrodes 20 to the selected tissue mass or tumor. Electrodes 20 can remain in their non-deployed positions while being introduced percutaneously into the body, and delivered to a selected organ which contains the selected mass to be ablated.

10          Delivery catheter 12 is removable from handle 18. When it is removed, electrode deployment device (the plurality of electrodes 20) can be inserted and removed from delivery catheter 12. An obturator 30 is inserted into delivery catheter 12 initially if a percutaneous procedure is to be performed. As shown in Figure 10, obturator 30 can have a sharpened distal end 32 that pierces tissue and assists the

15          introduction of delivery catheter 12 to a selected tissue site. The selected tissue site can be a body organ with a tumor or other mass, or the actual tumor itself.

Obturator 30 is then removed from delivery catheter 12 (Figure 11). Electrode deployment device is then inserted into delivery catheter 12, and the catheter is then reattached to handle 18 (Figure 12). As illustrated in Figure 12,

20          electrode deployment device can optionally include an electrode template 34 to guide the deployment of electrodes 20 to a surrounding relationship at an exterior of a selected mass in the tissue.

Electrodes 20 are then advanced out of distal end 16 of delivery catheter 12, and become deployed to form a desired ablative volume which surrounds the mass.

25          In Figure 13, delivery catheter 12 is positioned adjacent to the liver. Electrode deployment device is introduced into delivery catheter 12 with electrode template 34. Electrode deployment device now pierces the liver, and cam 22 advances electrodes 20 out of delivery catheter 12 into deployed positions. Each individual electrode 20 pierces the liver and travels through it until it is positioned in a

30          surrounding relationship to the tumor. The ablative volume is selectable, and

determined first by imaging the area to be ablated. The ablative volume is defined by the peripheries of all of the deployed electrodes 20 that surround the exterior of the tumor. Once the volume of ablation is determined, then an electrode set is selected which will become deployed to define the ablation volume. A variety of  
5 different factors are important in creating an ablation volume. Primarily, different electrodes 20 will have various degrees of deployment, based on type of electrode material, the level of pre-springing of the electrodes and the geometric configuration of the electrodes in their deployed states. Tissue ablation apparatus 10 permits different electrode 20 sets to be inserted into delivery catheter 12, in  
10 order to define a variety of ablation volumes.

Prior to ablation of the tumor, a pre-ablation step can be performed. A variety of different solutions, including electrolytic solutions such as saline, can be introduced to the tumor site, as shown in Figure 14. Figure 15 illustrates the application of RF energy to the tumor. Electrode insulator 28 is positioned on  
15 portions of electrodes 20 where there will be no ablation. This further defines the ablation volume. The actual electro-desiccation of the tumor, or other targeted masses or tissues, is shown in Figure 16. Again, deployed electrodes 20, with their electrode insulators 28 positioned along sections of the electrodes, define the ablation volume, and the resulting amount of mass that is desiccated.

20 Optionally following desiccation, electrodes 20 can introduce a variety of solutions in a post-ablation process. This step is illustrated in Figure 17. Suitable solutions include but are not limited to chemotherapeutic agents.

Figure 8 illustrates tissue ablation apparatus 10 operated in a bipolar mode. Its monopolar operation is shown in Figure 19. Each of the plurality of electrodes  
25 20 can play different roles in the ablation process. There can be polarity shifting between the different electrodes.

A tissue ablation system 36, which can be modular, is shown in Figure 20 and can include a display 38. Tissue ablation system 36 can also include an RF energy source, microwave source, ultrasound source, visualization devices such as  
30 cameras and VCR's, electrolytic and chemotherapeutic solution sources, and a

controller which can be used to monitor temperature or impedance. One of the deployed electrodes 20 can be a microwave antenna coupled to a microwave source. This electrode can initially be coupled to RF power source 42 and is then switched to the microwave source

5 Referring now to Figure 21, a power supply 40 delivers energy into RF power generator (source) 42 and then to electrodes 20 of tissue ablation apparatus 10. A multiplexer 46 measures current, voltage and temperature (at numerous temperature sensors which can be positioned on electrodes 20). Multiplexer 46 is driven by a controller 48, which can be a digital or analog controller, or a computer with software. When controller 48 is a computer, it can include a CPU coupled through a system bus. This system can include a keyboard, disk drive, or other non-volatile memory systems, a display, and other peripherals, as known in the art. Also coupled to the bus are a program memory and a data memory.

10 An operator interface 50 includes operator controls 52 and display 38. Controller 48 is coupled to imaging systems, including ultrasound transducers, temperature sensors, and viewing optics and optical fibers, if included.

Current and voltage are used to calculate impedance. Diagnostics are done through ultrasound, CT scanning, or other methods known in the art. Imaging can be performed before, during and after treatment.

20 Temperature sensors measure voltage and current that is delivered. The output of these sensors is used by controller 48 to control the delivery of RF power. Controller 48 can also control temperature and power. The amount of RF energy delivered controls the amount of power. A profile of power delivered can be incorporated in controller 38, as well as a pre-set amount of energy to be delivered can also be profiled.

25 Feedback can be the measurement of impedance or temperature, and occurs either at controller 48 or at electromagnetic energy source 42, e.g., RF or microwave, if it incorporates a controller. For impedance measurement, this can be achieved by supplying a small amount of non-ablation RF energy. Voltage and current are then measured.

30

Circuitry, software and feedback to controller 48 result in process control and are used to change, (i) power, including RF, ultrasound, and the like, (ii) the duty cycle (on-off and wattage), (iii) monopolar or bipolar energy delivery, (iv) chemotherapeutic and electrolytic solution delivery, flow rate and pressure and (v) determine when ablation is completed through time, temperature and/or impedance. These process variables can be controlled and varied based on temperature monitored at multiple sites, and impedance to current flow that is monitored, indicating changes in current carrying capability of the tissue during the ablative process.

The foregoing description of preferred embodiments of the present invention has been provided for the purposes of illustration and description. It is not intended to be exhaustive or to limit the invention to the precise forms disclosed. Obviously, many modifications and variations will be apparent to practitioners skilled in this art. The embodiments were chosen and described in order to best explain the principles of the invention and its practical application, thereby enabling others skilled in the art to understand the invention for various embodiments and with various modifications as are suited to the particular use contemplated. It is intended that the scope of the invention be defined by the following claims and their equivalents.

What is claimed is:

**CLAIMS**

1. A tissue ablation apparatus, comprising:  
a delivery catheter with a distal end and a proximal end;  
a handle attached to the proximal end of the delivery catheter; and  
5 an electrode deployment device positioned at least partially in the delivery catheter and including a plurality of retractable electrodes, each electrode having a non-deployed state when positioned within the delivery catheter, and a distended deployed state when advanced from the distal end of the delivery catheter defining an ablation volume between the deployed electrodes, each deployed electrode  
10 having at least two radii of curvature when advanced through the distal end of the delivery catheter and positioned at a desired tissue site.
2. The tissue ablation apparatus of claim 1, wherein each electrode has  
15 at least two radii of curvature in one plane in the deployed state.
3. The tissue ablation apparatus of claim 1, wherein each electrode has  
at least two radii of curvature in two or more planes in the deployed state.
4. The tissue ablation apparatus of claim 1, wherein at least one  
20 electrode has at least two radii of curvature in one plane in the distended deployed state, and at least one electrode has at least two radii of curvature in two or more planes in the distended deployed state.

- 5           5.       The tissue ablation apparatus of claim 1, further comprising:  
          an electrode template positioned at the distal end of the delivery catheter for  
guiding the deployment of the electrodes in a surrounding relationship to an  
exterior of a selected mass in the tissue.
6.       The tissue ablation apparatus of claim 1, wherein the electrodes are  
hollow and include fluid distribution ports.
- 10          7.       The tissue ablation apparatus of claim 1, further comprising:  
          an adjustable electrode insulator positioned adjacent to and in surrounding  
relationship to each of the electrodes, each adjustable electrode insulator being  
capable of being advanced and retracted along an electrode to define an electrode  
conductive surface.
- 15          8.       The tissue ablation apparatus of claim 1, further comprising:  
          an electrode advancement and retraction member associated with the  
electrode deployment device, for advancing the electrodes in and out of the  
delivery catheter distal end.
- 20          9.       The tissue ablation apparatus of claim 1, further comprising:  
          one or more guide tubes positioned in the delivery catheter.
10.      The tissue ablation apparatus of claim 6, further comprising:  
a source of infusing medium coupled to each of the electrodes.
- 25          11.      The tissue ablation apparatus of claim 6, further comprising:  
a source of chemotherapeutic agent coupled to each of the electrodes.
12.      The tissue ablation apparatus of claim 1, wherein each electrode has  
30 a sharpened distal end.

13. The tissue ablation apparatus of claim 1, wherein the electrode deployment device is removable from the delivery catheter.

5 14. The tissue ablation apparatus of claim 13, further comprising:  
a removable obturator positioned within the delivery catheter.

15. The tissue ablation apparatus of claim 14, wherein the obturator has a sharpened distal end.

10 16. The tissue ablation apparatus of claim 13, wherein the delivery catheter is removable from the handle.

15 17. The tissue ablation apparatus of claim 14, wherein the obturator is positioned in the delivery catheter and introduces the delivery catheter to an internal body site.

20 18. The tissue ablation apparatus of claim 14, wherein the obturator is removed from the delivery catheter after the delivery catheter is positioned at an internal body site, and the electrode deployment device is then positioned in the delivery catheter.

25 19. A tissue ablation apparatus, comprising:  
a delivery catheter with a distal end and a proximal end;  
a handle attached to the proximal end of the delivery catheter; and  
an electrode deployment device positioned at least partially in the delivery catheter and including a plurality of retractable electrodes, each electrode having a non-deployed state when positioned within the delivery catheter, and a distended deployed state when advanced from the distal end of the delivery catheter defining an ablation volume between the deployed electrodes, each deployed electrode



having at least one radii of curvature in two or more planes when advanced through the distal end of the delivery catheter and positioned at a desired tissue site.

5           20.     The tissue ablation apparatus of claim 19, further comprising:  
            an electrode template positioned at the distal end of the delivery catheter for  
guiding the deployment of the electrodes in a surrounding relationship to an  
exterior of a selected mass in the tissue.

10           21.     The tissue ablation apparatus of claim 19, further comprising:  
            an adjustable electrode insulator positioned adjacent to and in surrounding  
relationship to each of the electrodes, each adjustable electrode insulator being  
capable of being advanced and retracted along an electrode to define an electrode  
conductive surface.

15           22.     The tissue ablation apparatus of claim 19, further comprising:  
            an electrode advancement and retraction member associated with the  
electrode deployment device, for advancing the electrodes in and out of the  
delivery catheter distal end.

20           23.     The tissue ablation apparatus of claim 19, further comprising:  
            one or more guide tubes positioned in the delivery catheter.

25           24.     A tissue ablation apparatus, comprising:  
            a delivery catheter with a distal end and a proximal end;  
            a handle attached to the proximal end of the delivery catheter; and  
            an electrode deployment device positioned at least partially in the delivery  
catheter and including a plurality of retractable electrodes, each electrode having  
a non-deployed state when positioned within the delivery catheter, and a distended  
deployed state when advanced from the distal end of the delivery catheter defining  
30           an ablation volume between the deployed electrodes, at least one deployed

electrode having at least two radii of curvature, and at least one deployed electrode having at least one radius of curvature in two or more planes.

5           25.    The tissue ablation apparatus of claim 24, further comprising:  
            an electrode template positioned at the distal end of the delivery catheter for  
guiding the deployment of the electrodes in a surrounding relationship to an  
exterior of a selected mass in the tissue.

10           26.    The tissue ablation apparatus of claim 24, further comprising:  
            an adjustable electrode insulator positioned adjacent to and in surrounding  
relationship to each of the electrodes, each adjustable electrode insulator being  
capable of being advanced and retracted along an electrode to define an electrode  
conductive surface.

15           27.    The tissue ablation apparatus of claim 24, further comprising:  
            an electrode advancement and retraction member associated with the  
electrode deployment device, for advancing the electrodes in and out of the  
delivery catheter distal end.

20           28.    The tissue ablation apparatus of claim 24, further comprising:  
            one or more guide tubes positioned in the delivery catheter.

25           29.    A tissue ablation apparatus, comprising:  
            a delivery catheter with a distal end and a proximal end;  
            a handle attached to the proximal end of the delivery catheter; and  
            an electrode deployment device positioned at least partially in the delivery  
catheter including a plurality of retractable electrodes, each electrode having a non-  
deployed state when positioned within the delivery catheter, and a distended  
30           deployed state when advanced out of the delivery catheter distal end defining an  
ablation volume between the deployed electrodes. at least one deployed electrode

having at least one curved section located near the distal end of the delivery catheter, and a non-curved section extending beyond the curved section of the deployed electrode, and at least one deployed electrode having at least two radii of curvature.

5

30. The tissue ablation apparatus of claim 29, further comprising:  
an electrode template positioned at the distal end of the delivery catheter for guiding the deployment of the electrodes in a surrounding relationship to an exterior of a selected mass in the tissue.

10

31. The tissue ablation apparatus of claim 29, further comprising:  
an adjustable electrode insulator positioned adjacent to and in surrounding relationship to each of the electrodes, each adjustable electrode insulator being capable of being advanced and retracted along an electrode to define an electrode conductive surface.

15

32. The tissue ablation apparatus of claim 29, further comprising:  
an electrode advancement and retraction member associated with the electrode deployment device, for advancing the electrodes in and out of the delivery catheter distal end.

20

33. The tissue ablation apparatus of claim 29, further comprising:  
one or more guide tubes positioned in the delivery catheter.

25

34. A tissue ablation apparatus, comprising:  
a delivery catheter with a distal end and a proximal end;  
a handle attached to the proximal end of the delivery catheter; and  
an electrode deployment device positioned at least partially in the delivery catheter including a plurality of retractable electrodes, each electrode having a non-deployed state when positioned within the delivery catheter, and a distended

30

5 deployed state when advanced out of the delivery catheter distal end defining an ablation volume between the deployed electrodes, each deployed electrode having a first section with a first radius of curvature, the first section being located near the distal end of the delivery catheter, and a second section extending beyond the first section, the second section having a second radius of curvature, or a substantially linear geometry.

10 35. The tissue ablation apparatus of claim 34, wherein each electrode includes a third section extending beyond the second section, the third section having a curved or substantially linear geometry that is in a lateral position in relation to the second section.

## AMENDED CLAIMS

[received by the International Bureau on 22 January 1996 (22.01.1996); original claims 1,19,24,29,34, amended; remaining claims unchanged (6 pages)]

1. A tissue ablation apparatus, comprising:  
a delivery catheter with a distal end and a proximal end;  
a handle attached to the proximal end of the delivery catheter;  
an electrode deployment device positioned at least partially in the  
5 delivery catheter and including a plurality of retractable electrodes that are  
inserted into tissue, penetrate tissue and surround a selected mass, each  
electrode having a non-deployed state when positioned within the delivery  
catheter, and a distended deployed state when advanced from the distal end of  
the delivery catheter defining an ablation volume between the deployed  
10 electrodes, each deployed electrode having at least two radii of curvature when  
advanced through the distal end of the delivery catheter and positioned at a  
desired tissue site; and  
an electrode advancement and retraction member connected to the  
electrode deployment device, for advancing the electrodes in and out of the  
15 delivery catheter distal end.
2. The tissue ablation apparatus of claim 1, wherein each electrode  
has at least two radii of curvature in one plane in the deployed state.
3. The tissue ablation apparatus of claim 1, wherein each electrode  
has at least two radii of curvature in two or more planes in the deployed state.
- 20 4. The tissue ablation apparatus of claim 1, wherein at least one  
electrode has at least two radii of curvature in one plane in the distended  
deployed state, and at least one electrode has at least two radii of curvature in  
two or more planes in the distended deployed state.

13. The tissue ablation apparatus of claim 1, wherein the electrode deployment device is removable from the delivery catheter.
14. The tissue ablation apparatus of claim 13, further comprising:  
a removable obturator positioned within the delivery catheter.
- 5 15. The tissue ablation apparatus of claim 14, wherein the obturator has a sharpened distal end.
16. The tissue ablation apparatus of claim 13, wherein the delivery catheter is removable from the handle.
- 10 17. The tissue ablation apparatus of claim 14, wherein the obturator is positioned in the delivery catheter and introduces the delivery catheter to an internal body site.
- 15 18. The tissue ablation apparatus of claim 14, wherein the obturator is removed from the delivery catheter after the delivery catheter is positioned at an internal body site, and the electrode deployment device is then positioned in the delivery catheter.
- 20 19. A tissue ablation apparatus, comprising:  
a delivery catheter with a distal end and a proximal end;  
a handle attached to the proximal end of the delivery catheter;  
an electrode deployment device positioned at least partially in the delivery catheter and including a plurality of retractable electrodes that are inserted into tissue, penetrate tissue and surround a selected mass, each electrode having a non-deployed state when positioned within the delivery catheter, and a distended deployed state when advanced from the distal end of the delivery catheter defining an ablation volume between the deployed

electrodes, each deployed electrode having at least one radii of curvature in two or more planes when advanced through the distal end of the delivery catheter and positioned at a desired tissue site; and

5 an electrode advancement and retraction member connected to the electrode deployment device, for advancing the electrodes in and out of the delivery catheter distal end.

20. The tissue ablation apparatus of claim 19, further comprising:  
an electrode template positioned at the distal end of the delivery catheter for guiding the deployment of the electrodes in a surrounding relationship to an  
10 exterior of a selected mass in the tissue.

21. The tissue ablation apparatus of claim 19, further comprising:  
an adjustable electrode insulator positioned adjacent to and in surrounding relationship to each of the electrodes, each adjustable electrode insulator being capable of being advanced and retracted along an electrode to  
15 define an electrode conductive surface.

22. The tissue ablation apparatus of claim 19, further comprising:  
an electrode advancement and retraction member associated with the electrode deployment device, for advancing the electrodes in and out of the delivery catheter distal end.

20 23. The tissue ablation apparatus of claim 19, further comprising:  
one or more guide tubes positioned in the delivery catheter.

24. A tissue ablation apparatus, comprising:  
a delivery catheter with a distal end and a proximal end;  
a handle attached to the proximal end of the delivery catheter;  
25 an electrode deployment device positioned at least partially in the

delivery catheter and including a plurality of retractable electrodes that are inserted into tissue, penetrate tissue and surround a selected mass, each electrode having a non-deployed state when positioned within the delivery catheter, and a distended deployed state when advanced from the distal end of the delivery catheter defining an ablation volume between the deployed electrodes, at least one deployed electrode having at least two radii of curvature, and at least one deployed electrode having at least one radius of curvature in two or more planes; and

an electrode advancement and retraction member connected to the electrode deployment device, for advancing the electrodes in and out of the delivery catheter distal end.

25. The tissue ablation apparatus of claim 24, further comprising:  
an electrode template positioned at the distal end of the delivery catheter for guiding the deployment of the electrodes in a surrounding relationship to an exterior of a selected mass in the tissue.

26. The tissue ablation apparatus of claim 24, further comprising:  
an adjustable electrode insulator positioned adjacent to and in surrounding relationship to each of the electrodes, each adjustable electrode insulator being capable of being advanced and retracted along an electrode to define an electrode conductive surface.

27. The tissue ablation apparatus of claim 24, further comprising:  
an electrode advancement and retraction member associated with the electrode deployment device, for advancing the electrodes in and out of the delivery catheter distal end.

28. The tissue ablation apparatus of claim 24, further comprising:  
one or more guide tubes positioned in the delivery catheter.



29. A tissue ablation apparatus, comprising:  
a delivery catheter with a distal end and a proximal end;  
a handle attached to the proximal end of the delivery catheter;  
an electrode deployment device positioned at least partially in the  
5 delivery catheter including a plurality of retractable electrodes that are inserted  
into tissue, penetrate tissue and surround a selected mass, each electrode having  
a non-deployed state when positioned within the delivery catheter, and a  
distended deployed state when advanced out of the delivery catheter distal end  
defining an ablation volume between the deployed electrodes, at least one  
10 deployed electrode having at least one curved section located near the distal end  
of the delivery catheter, and a non-curved section extending beyond the curved  
section of the deployed electrode, and at least one deployed electrode having at  
least two radii of curvature; and  
an electrode advancement and retraction member connected to the  
15 electrode deployment device, for advancing the electrodes in and out of the  
delivery catheter distal end.

30. The tissue ablation apparatus of claim 29, further comprising:  
an electrode template positioned at the distal end of the delivery catheter  
for guiding the deployment of the electrodes in a surrounding relationship to an  
20 exterior of a selected mass in the tissue.

31. The tissue ablation apparatus of claim 29, further comprising:  
an adjustable electrode insulator positioned adjacent to and in  
surrounding relationship to each of the electrodes, each adjustable electrode  
insulator being capable of being advanced and retracted along an electrode to  
25 define an electrode conductive surface.

32. The tissue ablation apparatus of claim 29, further comprising:  
an electrode advancement and retraction member associated with the  
electrode deployment device, for advancing the electrodes in and out of the  
delivery catheter distal end.

5 33. The tissue ablation apparatus of claim 29, further comprising:  
one or more guide tubes positioned in the delivery catheter.

34. A tissue ablation apparatus, comprising:  
a delivery catheter with a distal end and a proximal end;  
a handle attached to the proximal end of the delivery catheter; and  
10 an electrode deployment device positioned at least partially in the  
delivery catheter including a plurality of retractable electrodes that are inserted  
into tissue, penetrate tissue and surround a selected mass, each electrode having  
a non-deployed state when positioned within the delivery catheter, and a  
distended deployed state when advanced out of the delivery catheter distal end  
15 defining an ablation volume between the deployed electrodes, each deployed  
electrode having a first section with a first radius of curvature, the first section  
being located near the distal end of the delivery catheter, and a second section  
extending beyond the first section, the second section having one of a second  
radius of curvature, or a substantially linear geometry.

20 35. The tissue ablation apparatus of claim 34, wherein each electrode  
includes a third section extending beyond the second section, the third section  
having a curved or substantially linear geometry that is in a lateral position in  
relation to the second section.

1/21

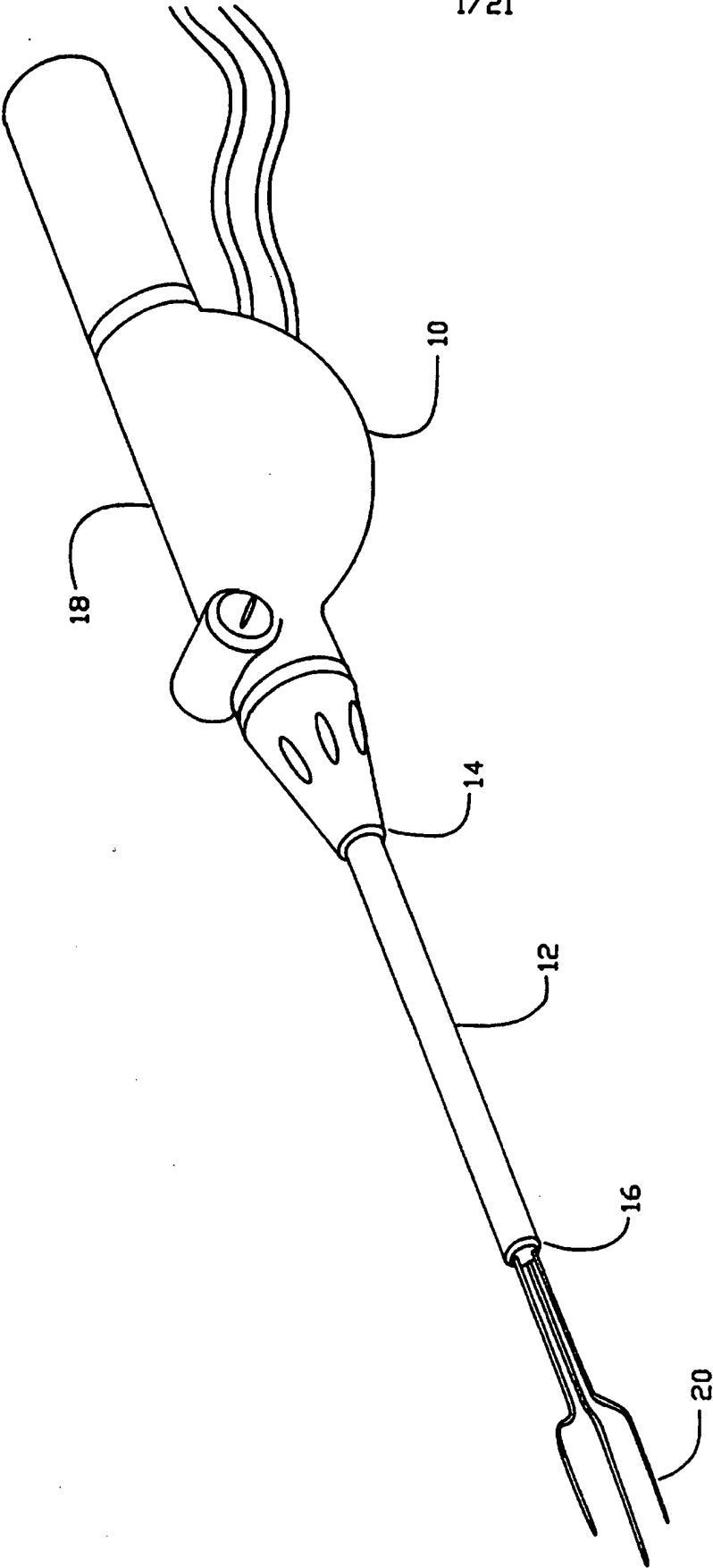


FIG.1

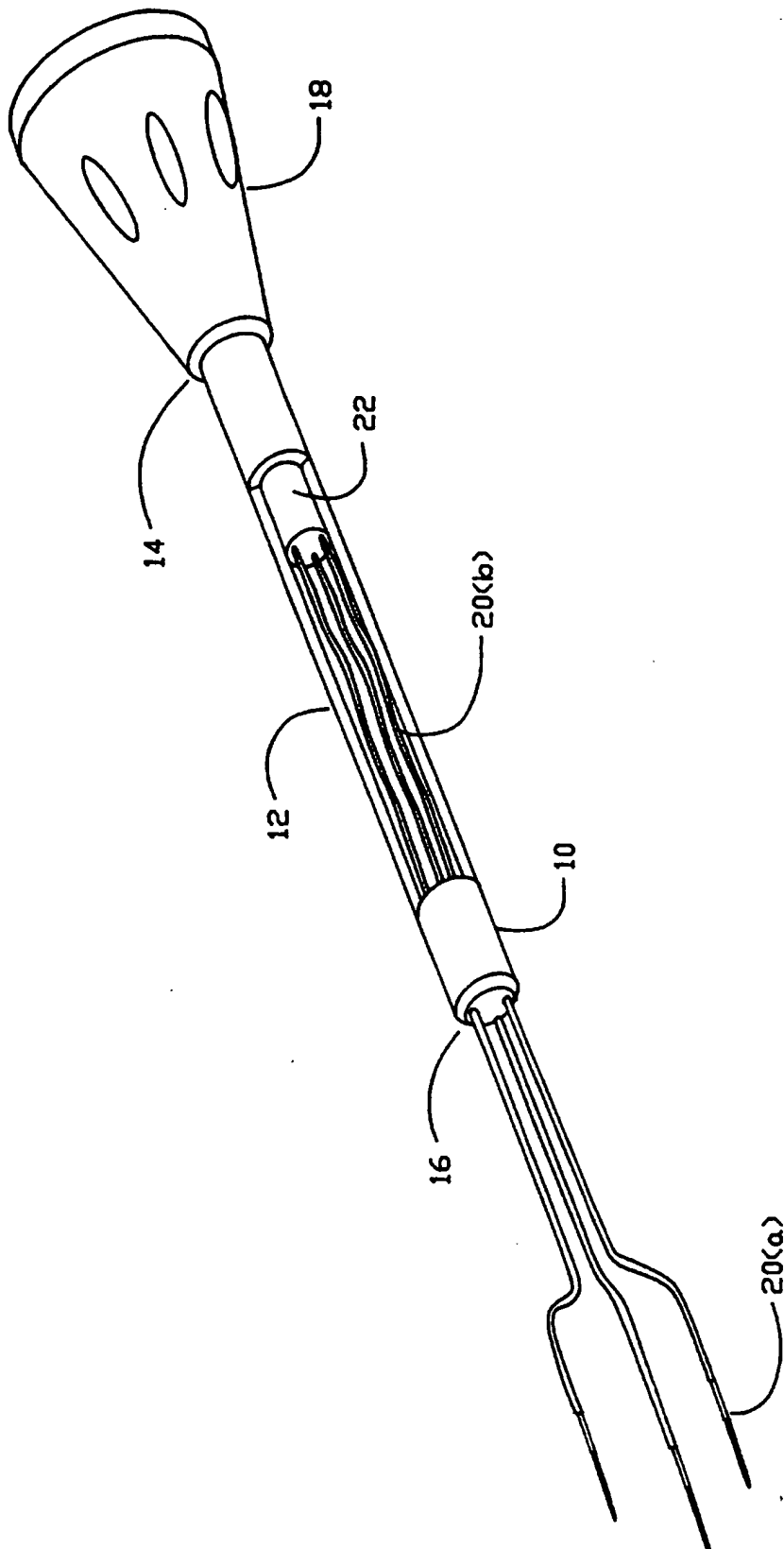


FIG. 2

3/21

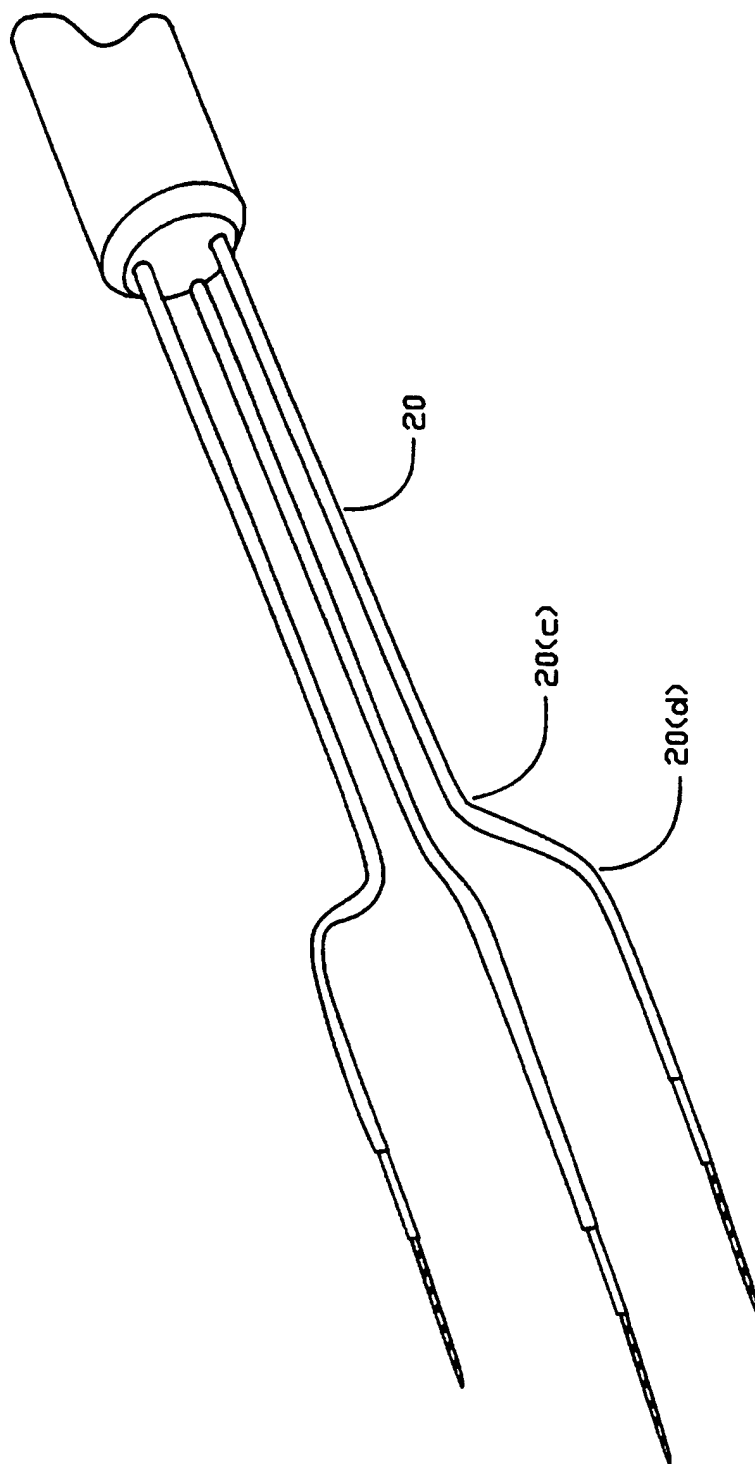
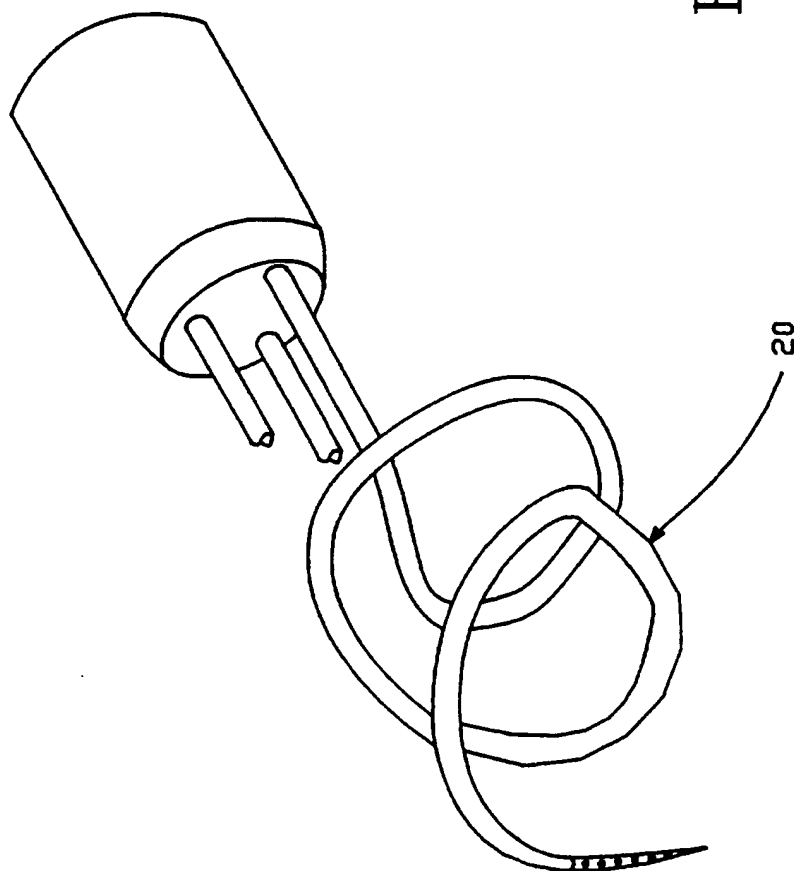


FIG. 3

4/21

FIG. 4



5/21

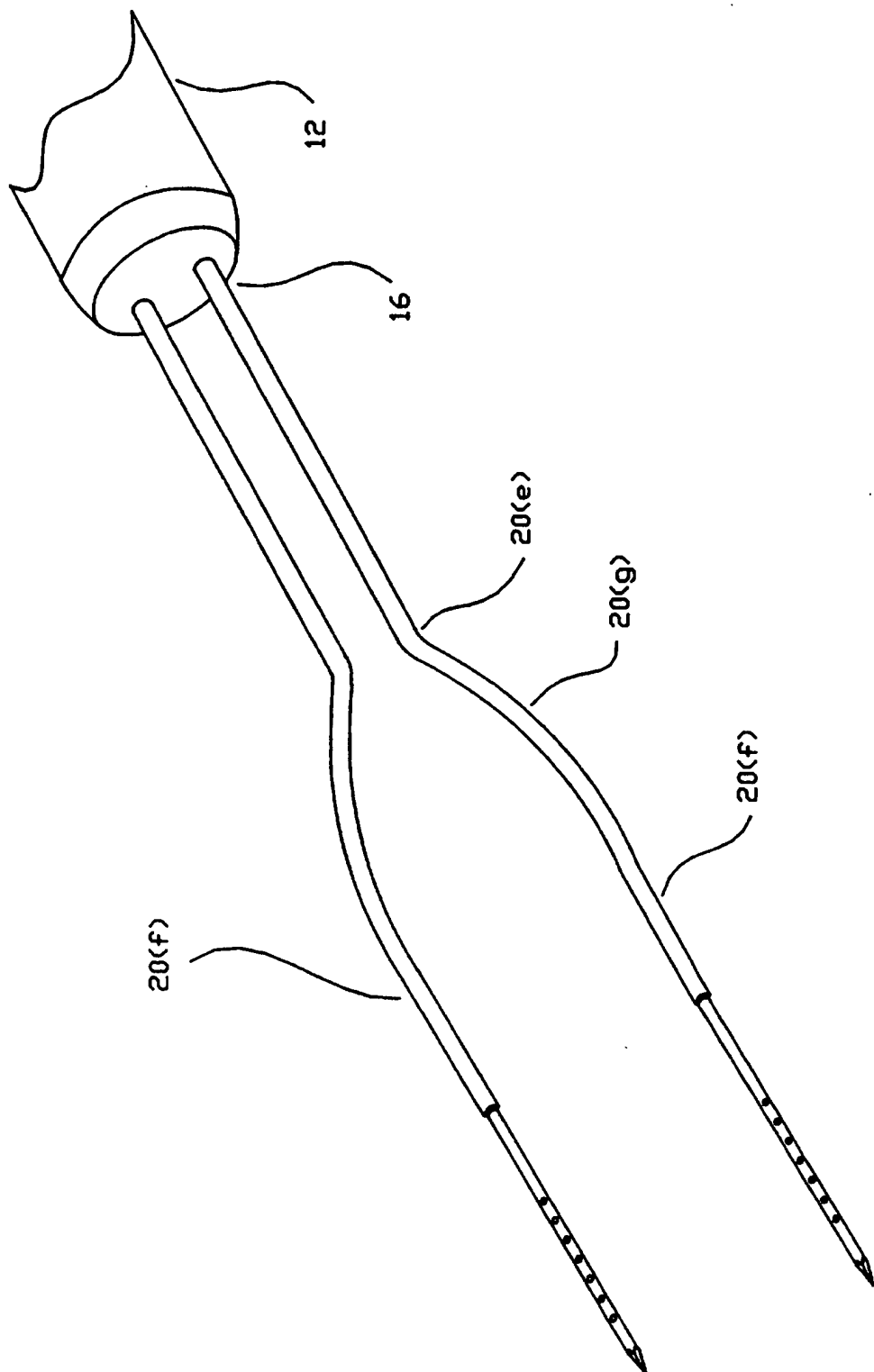


FIG. 5

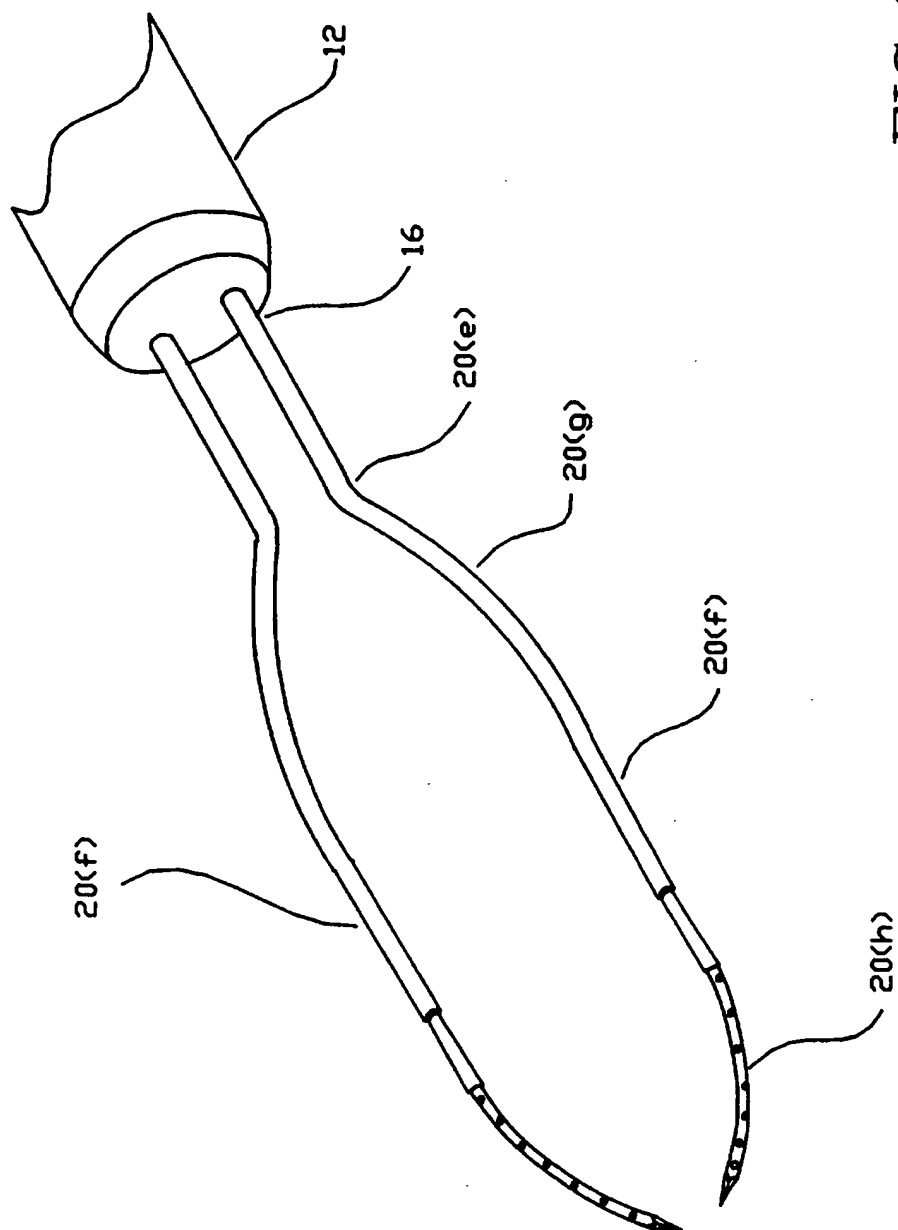


FIG. 6



7/21

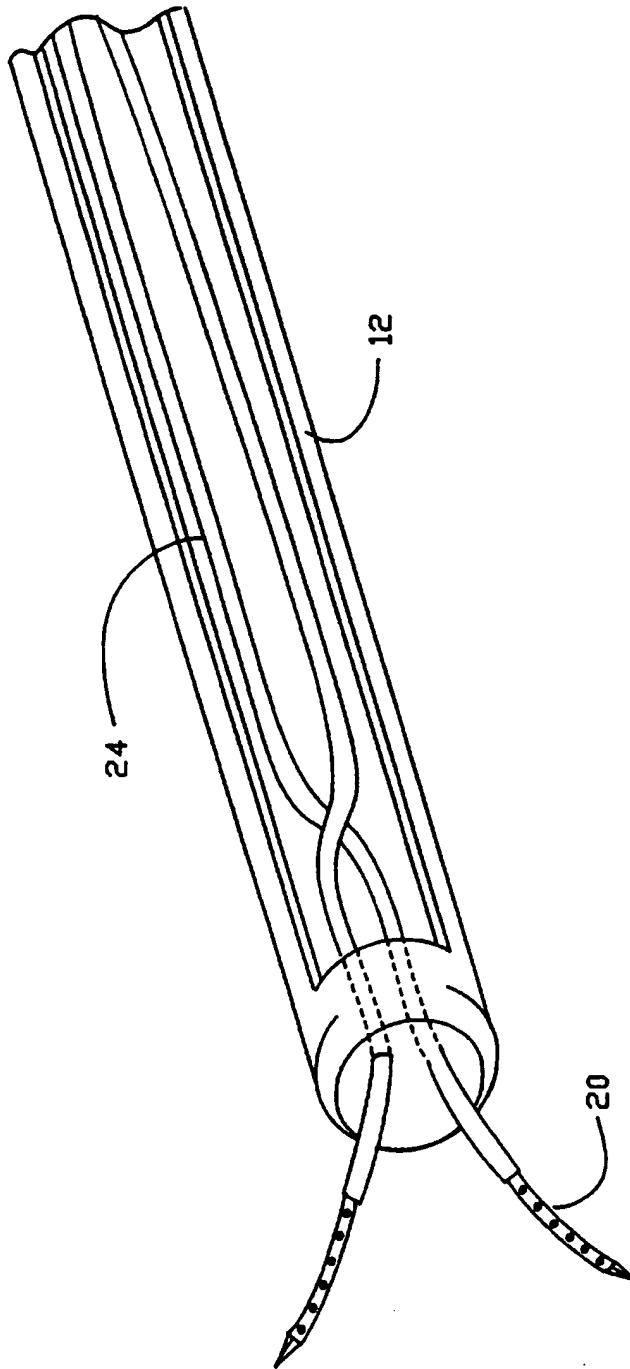
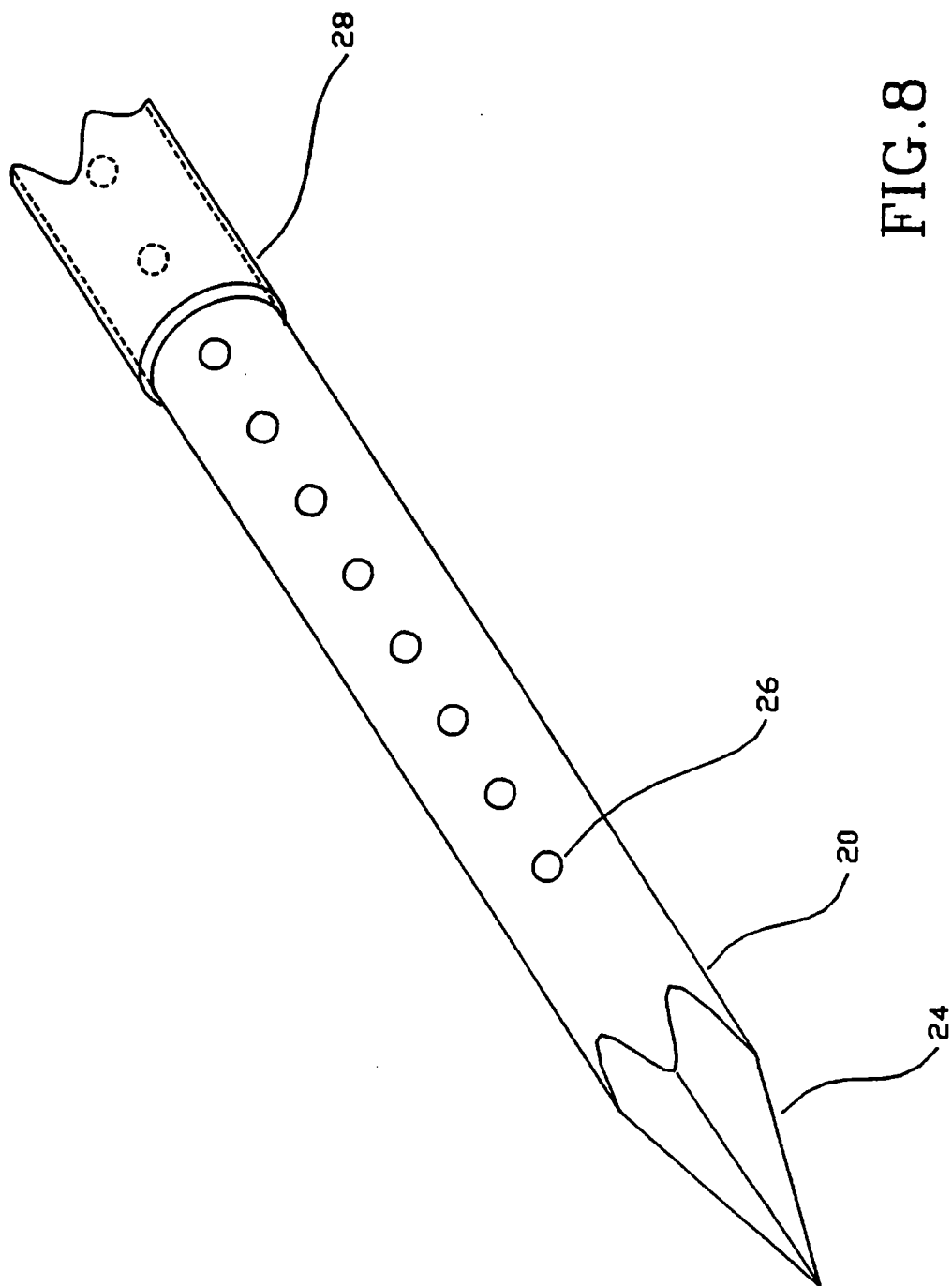


FIG. 7



9/21

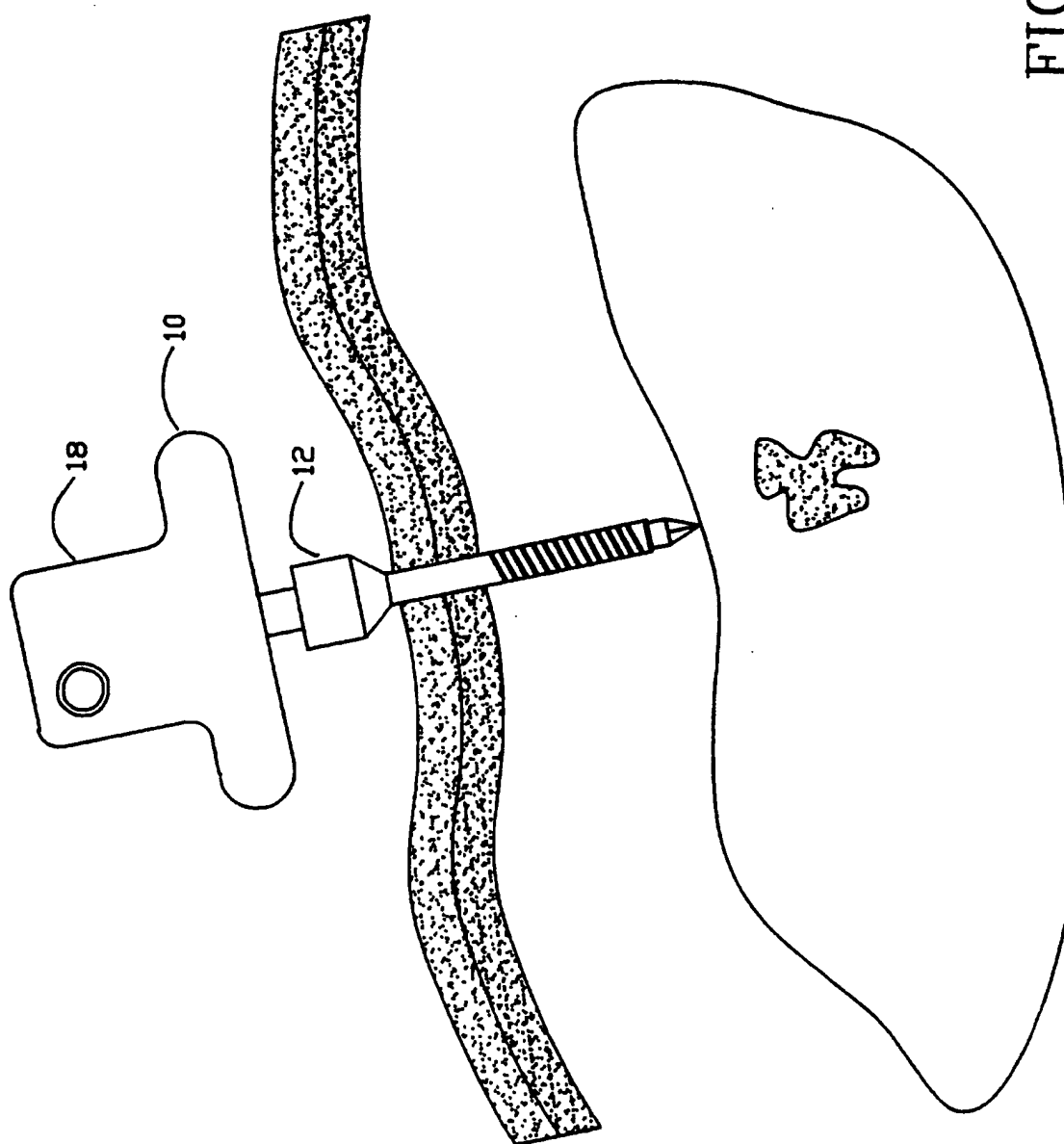


FIG. 9

10/21

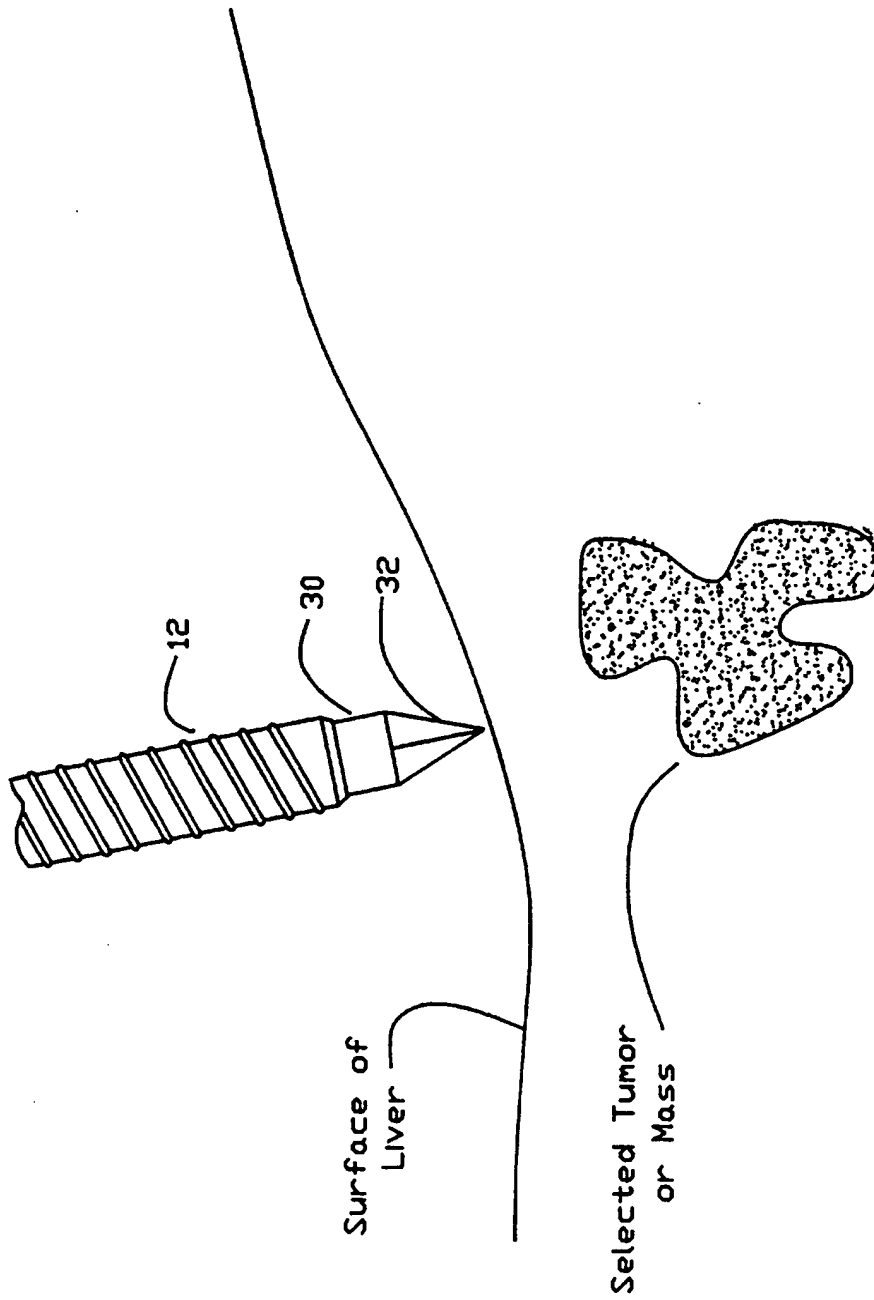
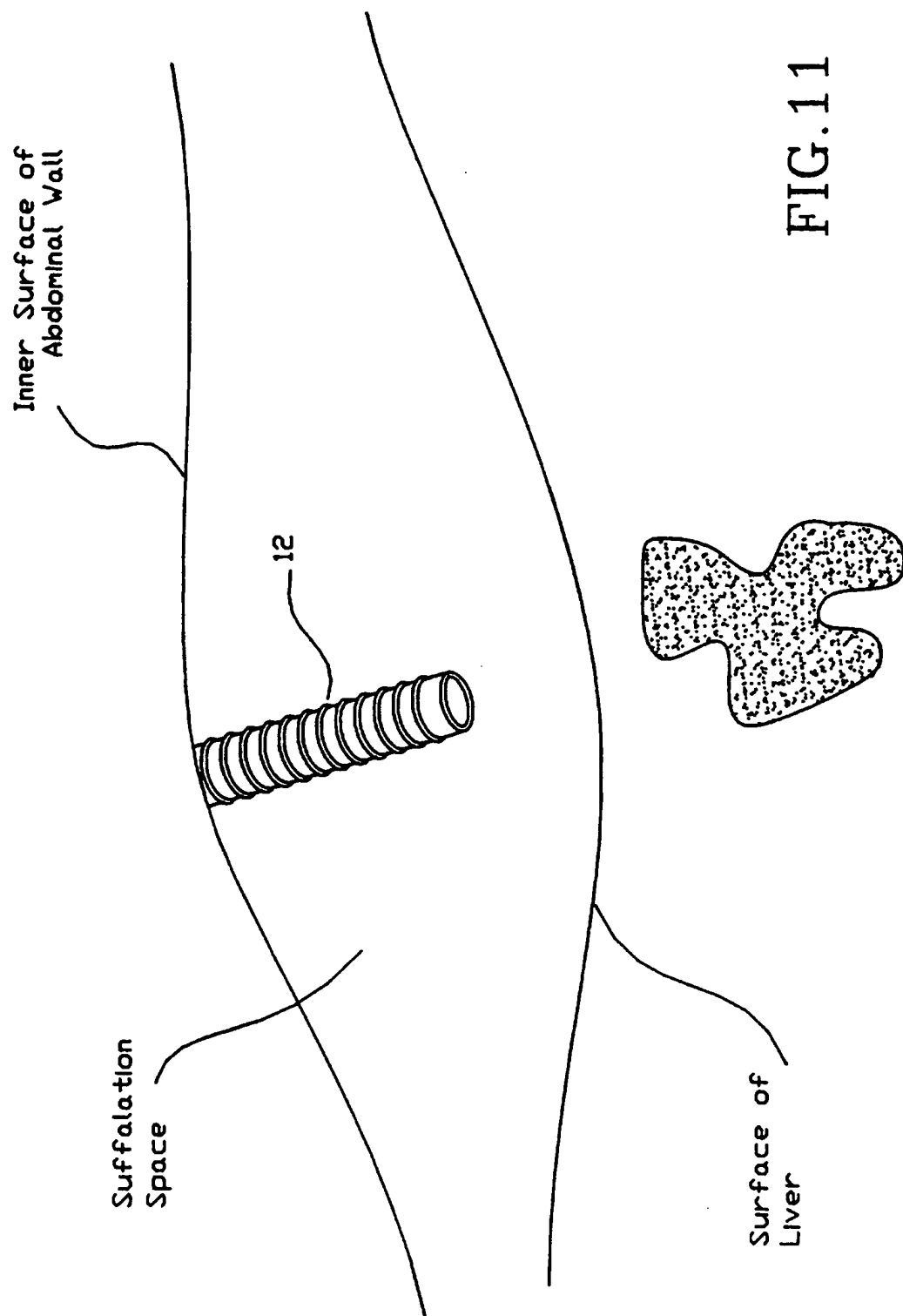
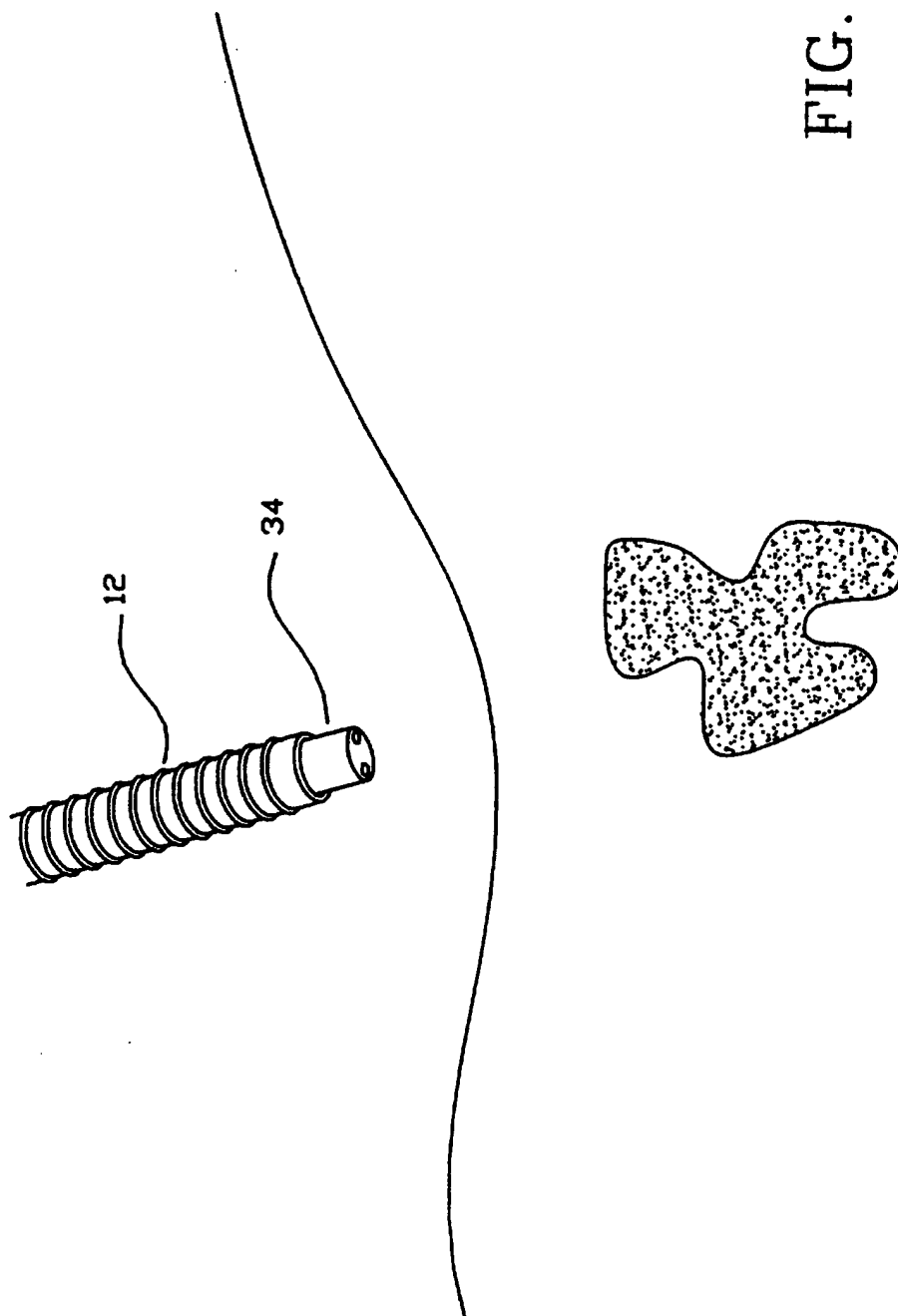


FIG.10

11/21



12/21



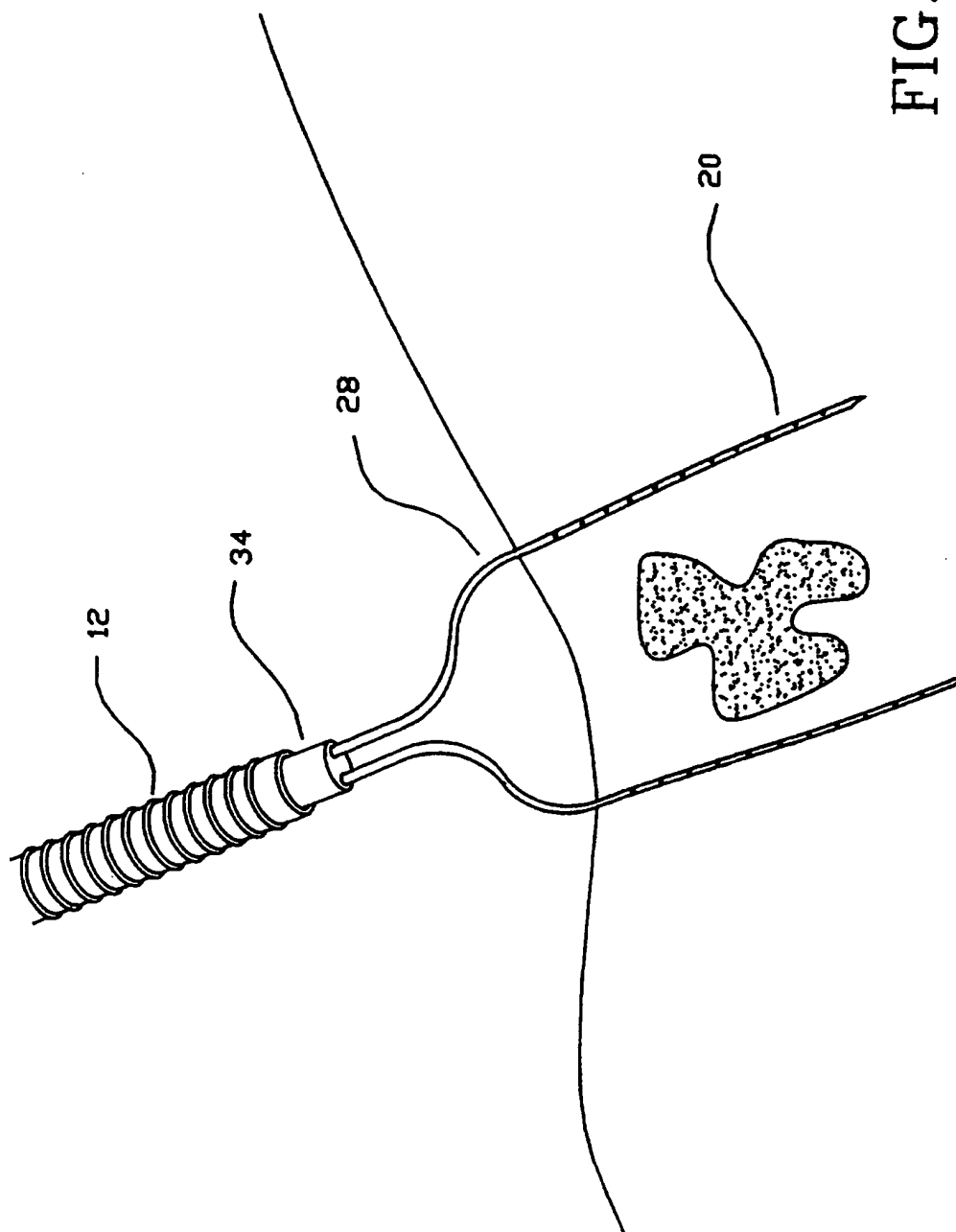


FIG. 13

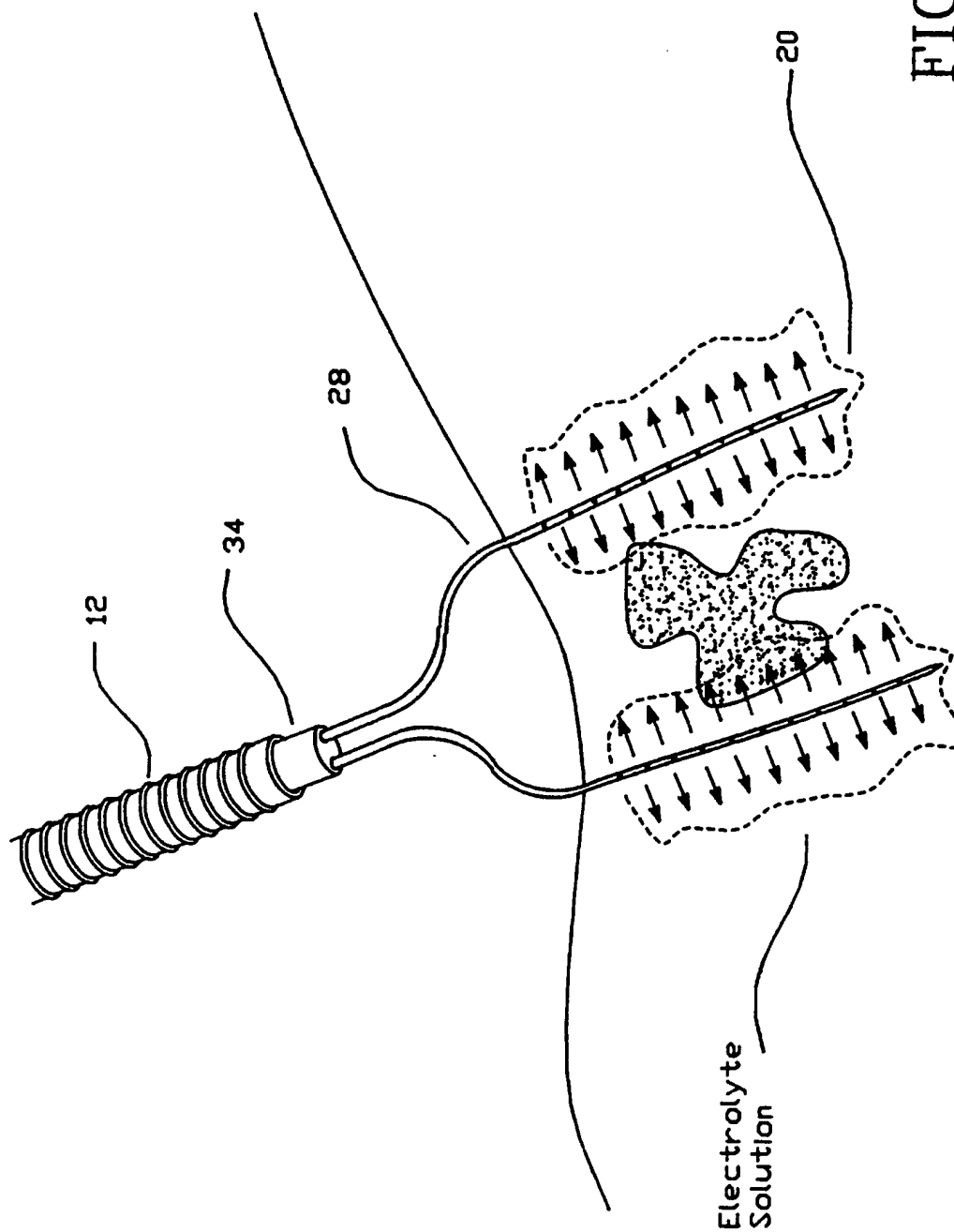
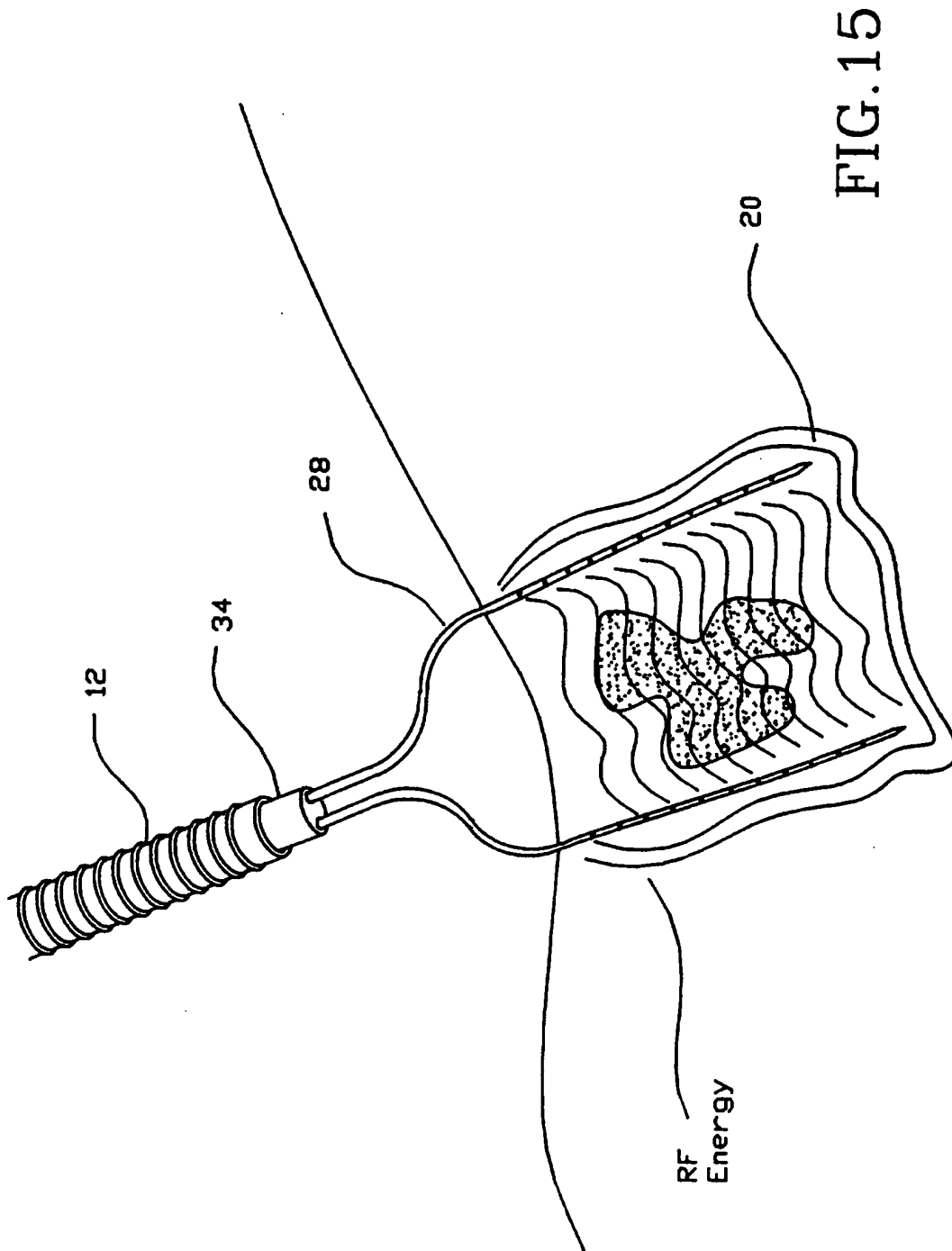
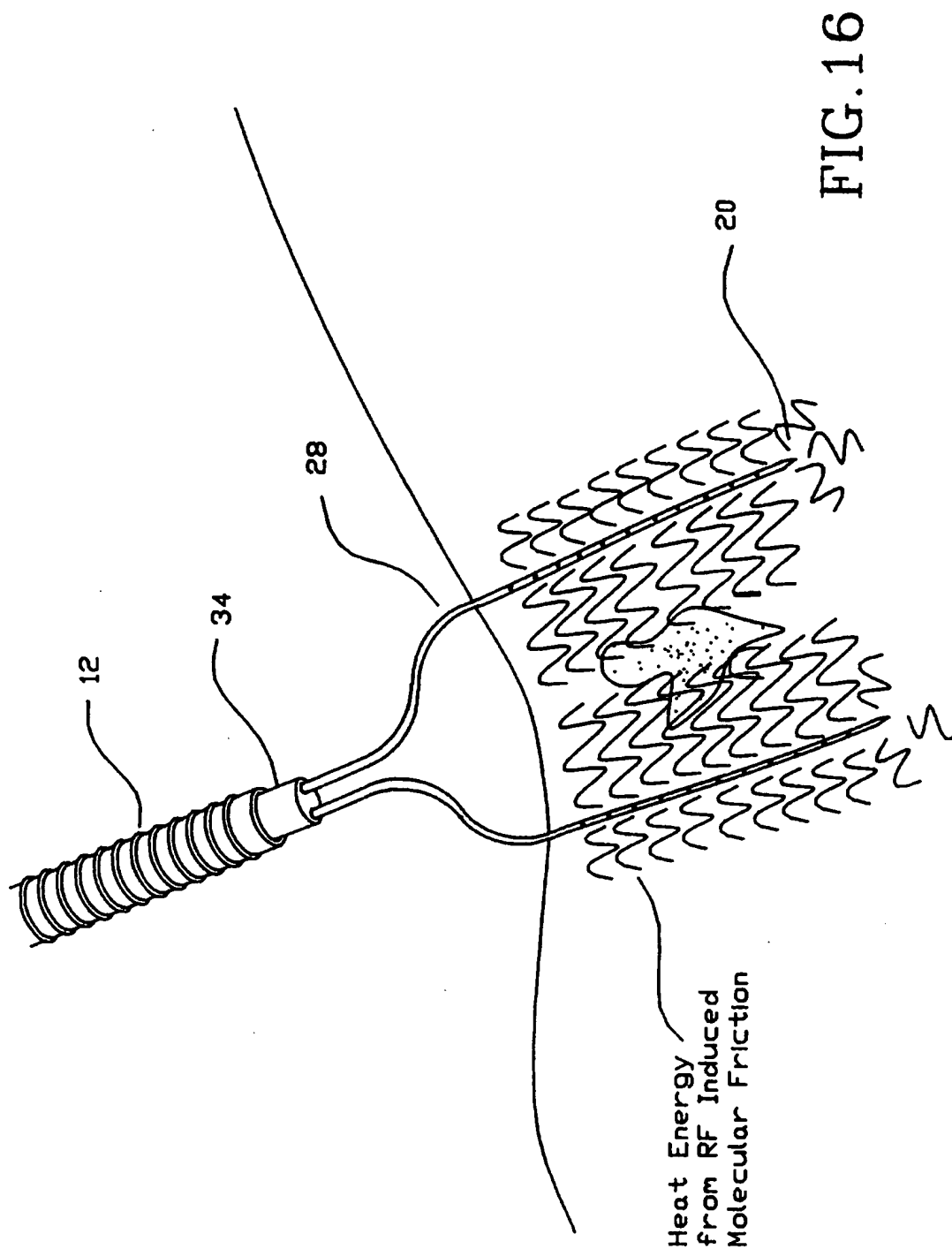


FIG. 14







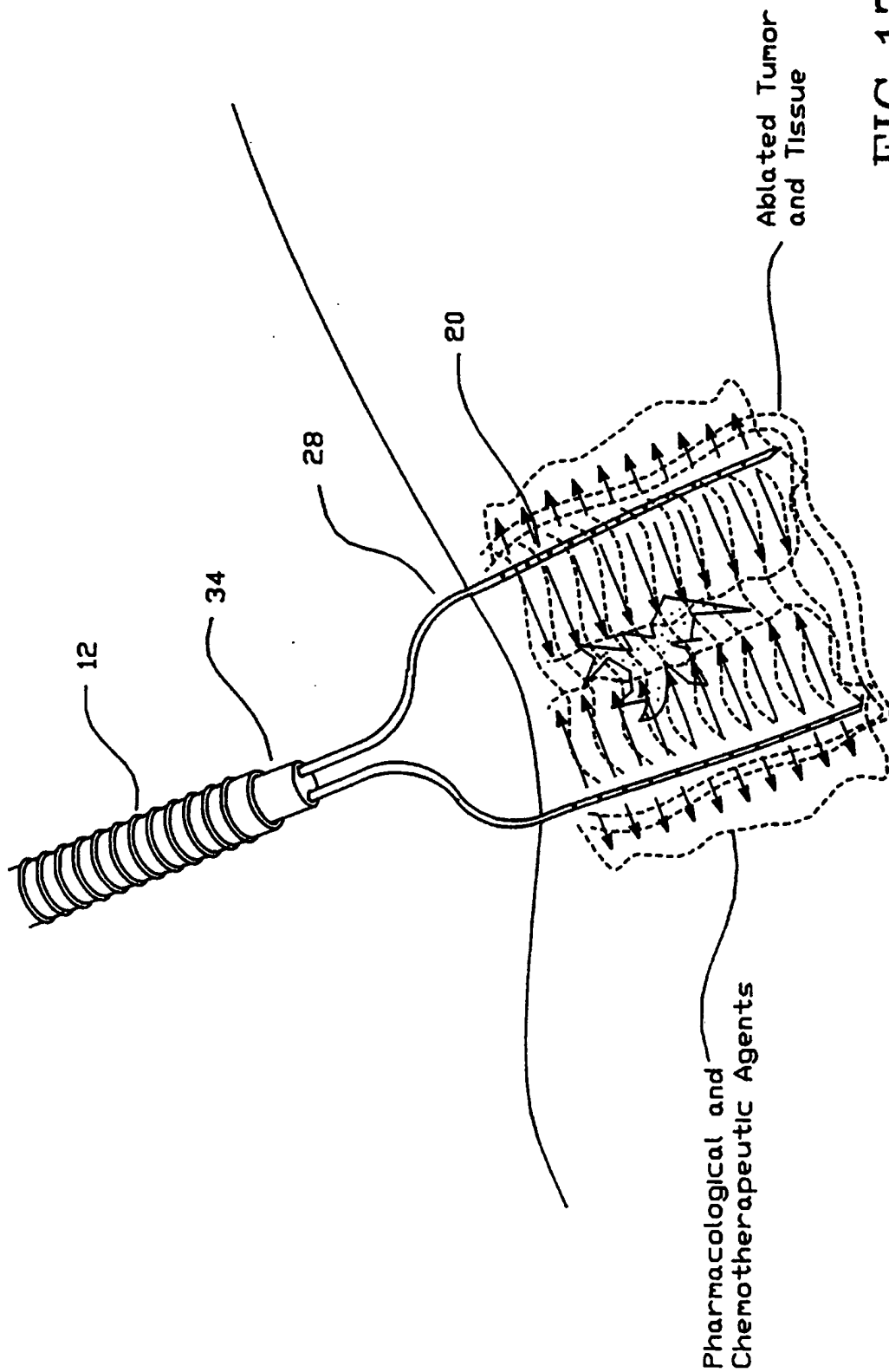


FIG. 17

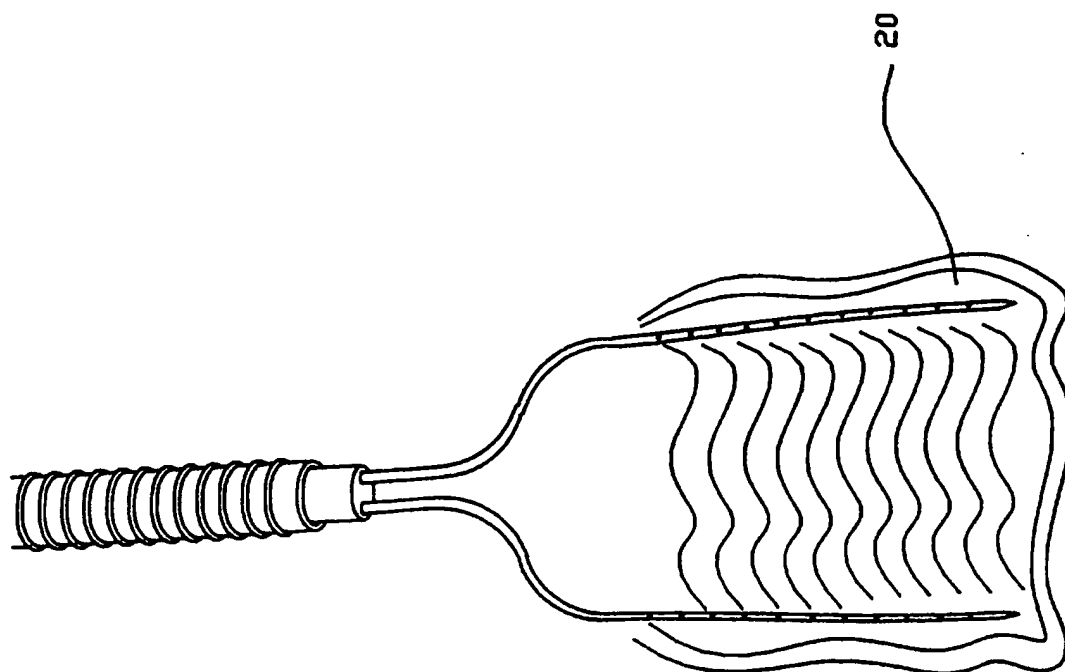
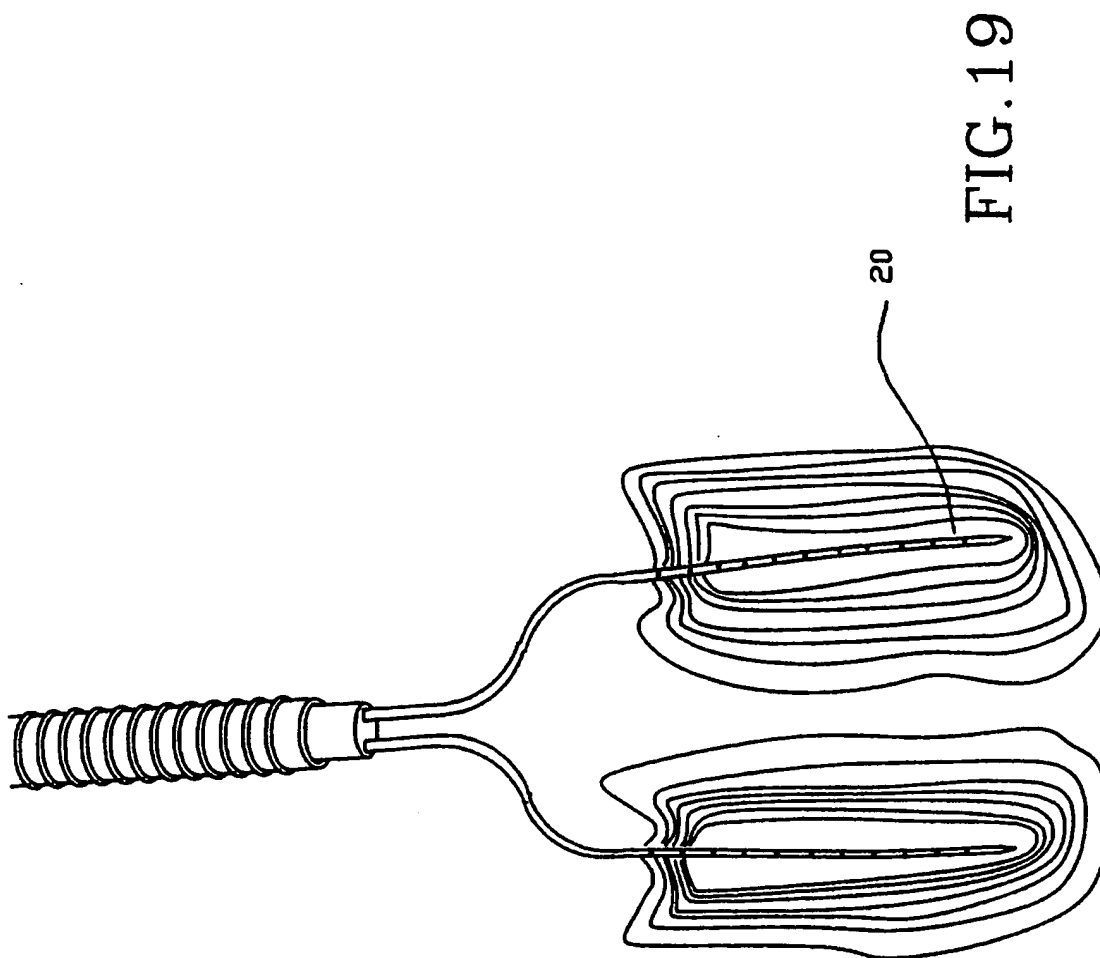
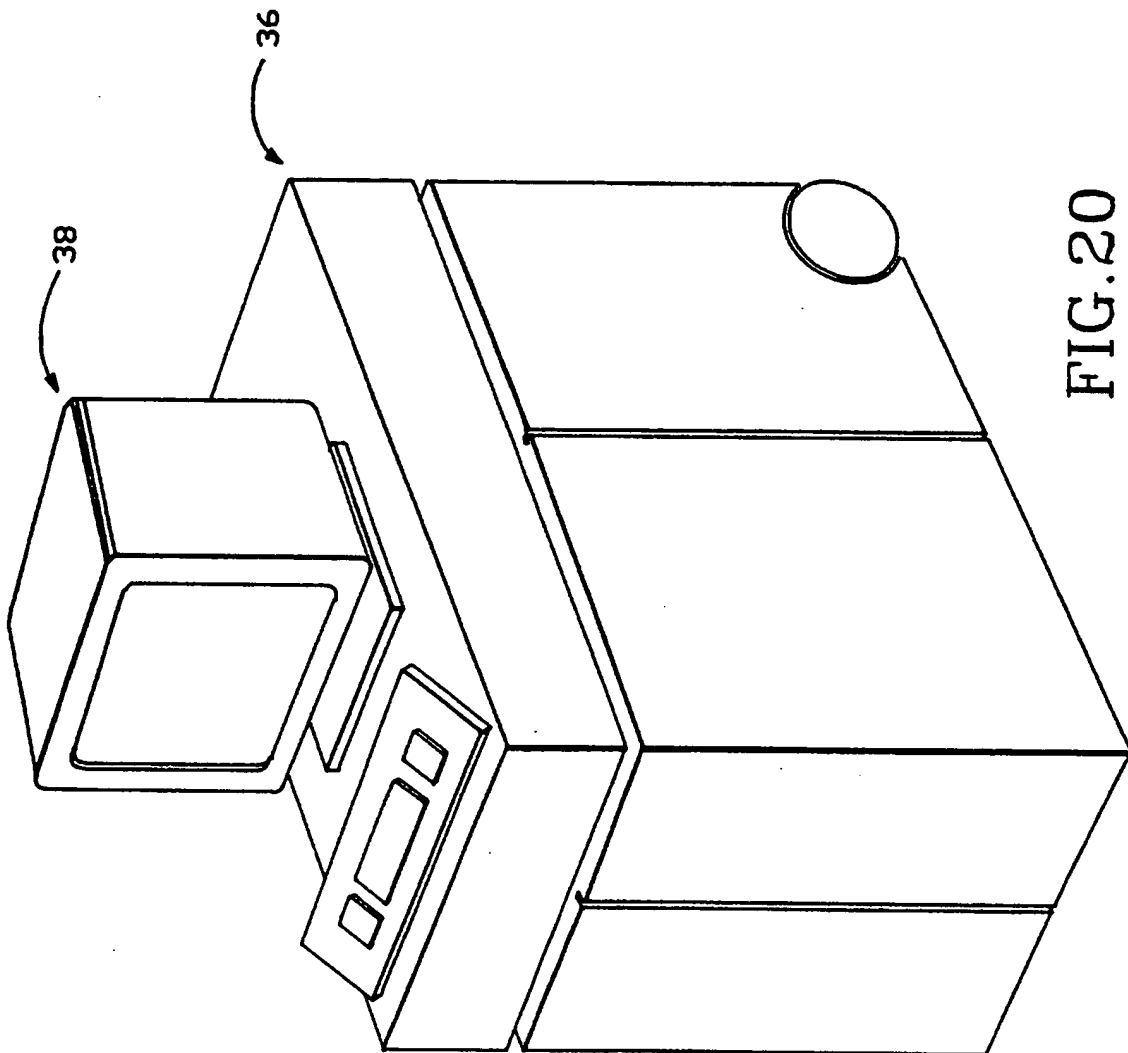
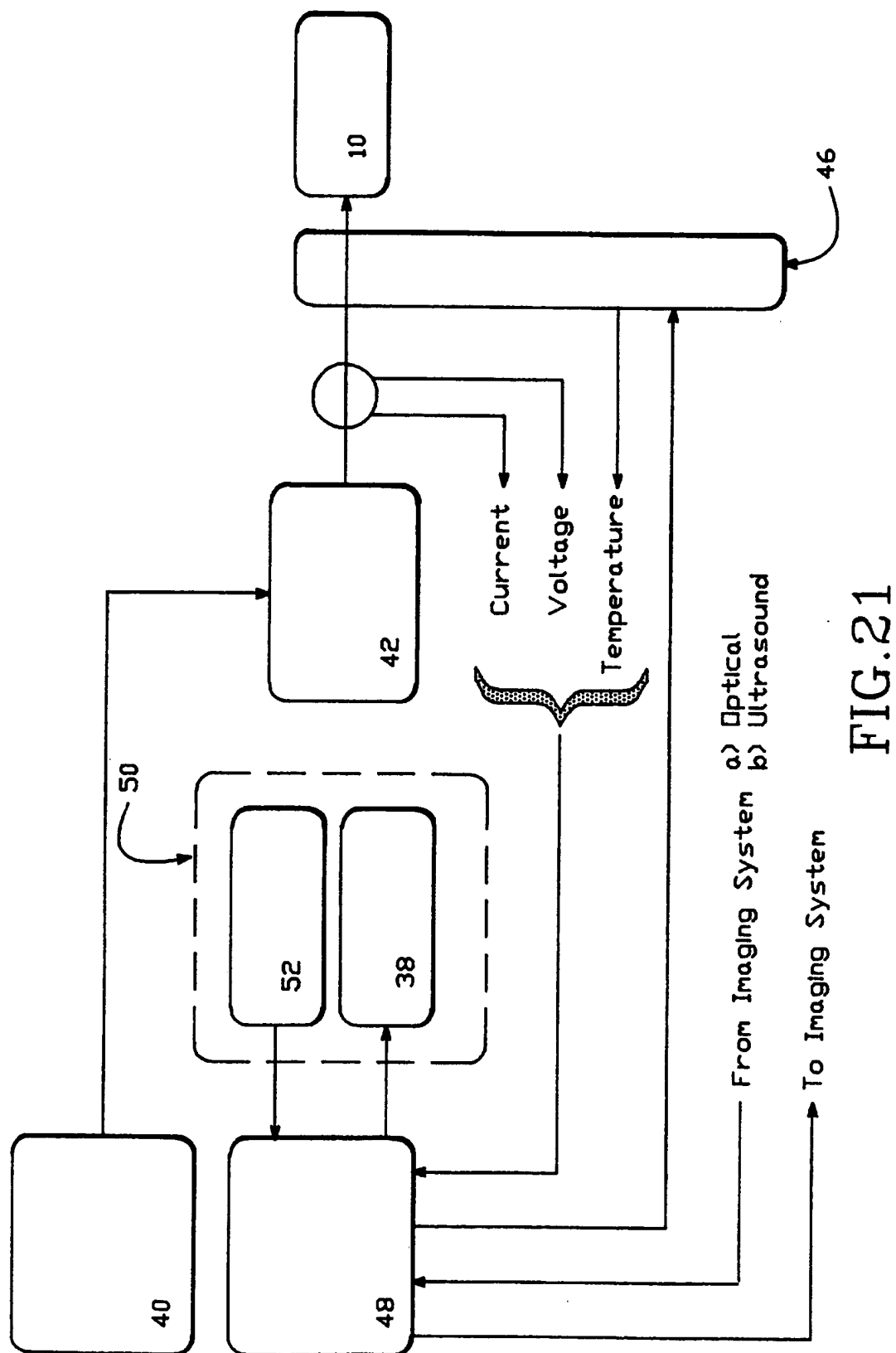


FIG. 18







# INTERNATIONAL SEARCH REPORT

Intern. Application No  
PCT/US 95/10242

A. CLASSIFICATION OF SUBJECT MATTER  
IPC 6 A61B17/39

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 6 A61B

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	DE,U,89 09 492 (UECKMANN) 8 February 1990 see page 2; figure 1 ---	1,2
Y	WO,A,94 04220 (VIDAMED) 3 March 1994 see page 11, line 31 - page 12, line 25 see page 16, line 24 - line 35 see page 17, paragraph 3 see page 23, line 30 - page 24, paragraph 1 see claims 3,10; figures 4,7,14 ---	1-35
Y	FR,A,2 283 701 (FISCHER) 2 April 1976  see page 4, line 7 - line 15; figures 2,3 --- -/-	1,2, 5-18, 24-33

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

\* Special categories of cited documents:

- "A" document defining the general state of the art which is not considered to be of particular relevance
- "E" earlier document but published on or after the international filing date
- "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- "O" document referring to an oral disclosure, use, exhibition or other means
- "P" document published prior to the international filing date but later than the priority date claimed

- "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- "&" document member of the same patent family

Date of the actual completion of the international search

6 November 1995

Date of mailing of the international search report

23. 11. 95

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2  
NL - 2280 HV Rijswijk  
Tel. (+ 31-70) 340-2040, Tx. 31 651 epo nl,  
Fax: (+ 31-70) 340-3016

Authorized officer

Moers, R



# INTERNATIONAL SEARCH REPORT

Intern al Application No  
PCT/US 95/10242

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT		
Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	EP,A,0 472 368 (MED INSTITUTE) 26 February 1992 see abstract; figure 1 ---	3,4,24, 34,35
Y	US,A,5 259 394 (BENS) 9 November 1993 see abstract; figures 1,2 ---	19-23
A	US,A,5 083 565 (PARINS) 28 January 1992  see column 3, line 7 - line 24 see column 3, line 37 - line 66 see column 4, line 14 - line 29; figure 1 ---	1,19,24, 29,34
A	US,A,3 858 586 (LESSEN) 7 January 1975  see column 5, line 62 - column 6, line 26 ---	1,19,24, 29,34
A	DE,A,41 00 422 (WOLF) 16 July 1992  see claim 1; figures 1,5 ---	1,19,24, 29,34
A	US,A,4 026 301 (FRIEDMAN ET AL.) 31 May 1977 see column 5, line 14 - column 6, line 59; figures 2-8 ---	13-18
A	DE,B,10 07 960 (WOLF) 9 May 1957 see column 3, line 22 - line 25; figure 7 -----	6

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/US 95/10242

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
DE-U-8909492	08-02-90	DE-A- 3905734	30-08-90
WO-A-9404220	03-03-94	US-A- 5370675	06-12-94
		AU-B- 2047595	10-08-95
		AU-B- 657235	02-03-95
		AU-B- 4999893	15-03-94
		AU-B- 6133194	29-08-94
		CA-A- 2121032	03-03-94
		CA-A- 2155217	18-08-94
		DE-A- 4305663	17-02-94
		EP-A- 0611314	24-08-94
		EP-A- 0629382	21-12-94
		EP-A- 0631514	04-01-95
		FI-A- 950584	04-04-95
		JP-T- 7503645	20-04-95
		US-A- 5385544	31-01-95
		US-A- 5421819	06-06-95
		US-A- 5435805	25-07-95
		WO-A- 9417856	18-08-94
		US-A- 5409453	25-04-95
		US-A- 5456662	10-10-95
		FR-A- 2694700	18-02-94
		GB-A- 2269538	16-02-94
		US-A- 5366490	22-11-94
FR-A-2283701	02-04-76	DE-A- 2442605	25-03-76
		CH-A- 587664	13-05-77
		JP-A- 51076888	03-07-76
		US-A- 4003380	18-01-77
EP-A-472368	26-02-92	US-A- 5100423	31-03-92
		AT-T- 124231	15-07-95
		AU-B- 643552	18-11-93
		AU-B- 8267591	27-02-92
		DE-D- 69110794	03-08-95
		JP-A- 5137729	01-06-93
US-A-5259394	09-11-93	FR-A- 2671010	03-07-92
		DE-D- 69107045	09-03-95
		DE-T- 69107045	08-06-95

# INTERNATIONAL SEARCH REPORT

information on patent family members

International Application No

PCT/US 95/10242

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
US-A-5259394		EP-A- 0493221	01-07-92
US-A-5083565	28-01-92	NONE	
US-A-3858586	07-01-75	NONE	
DE-A-4100422	16-07-92	NONE	
US-A-4026301	31-05-77	AU-B- 514777	26-02-81
		AU-B- 3730978	07-09-78
		AU-B- 8729375	09-06-77
		CA-A- 1067962	11-12-79
		CA-A- 1081792	15-07-80
		CA-A- 1081793	15-07-80
		DE-A- 2617240	04-11-76
		DE-C- 2660864	13-06-85
		FR-A, B 2319385	25-02-77
		GB-A- 1552605	19-09-79
		GB-A- 1552606	19-09-79
		GB-A- 1552604	19-09-79
		JP-C- 1071855	30-11-81
		JP-A- 51128861	10-11-76
		JP-B- 56015263	09-04-81
		NL-A- 7601110	25-10-76
		SE-B- 420161	21-09-81
		SE-A- 7514440	22-10-76
		SE-B- 440452	05-08-85
		SE-A- 7903485	20-04-79
DE-B-1007960		NONE	